



EWG Dams and Earthquakes

**ITCOLD Working Group "Observed
behaviour of Italian dams under historical
earthquakes "**

Rosella Caruana Adriano De Sortis

Roma 6,7 Febbraio 2017



Observed behaviour of Italian dams under historical earthquakes

The presentation reports the results of the activities carried out by the ITCOLD Working Group "**Observed behaviour of Italian dams under historical earthquakes**".

The ITCOLD Working Group is composed as follows:

Angelica Catalano (Chairman), Rosella Caruana, Federica Del Gizzi, Adriano De Sortis, Giovanni Marmo, Fabio Sabetta, Massimo Rescigno, Alfredo Rossi



Summary

- Preliminary remarks: the Dam Authority post-earthquake procedure
- Observed behaviour during historical earthquakes:
 - Selection of dams for the historical survey
 - Check-list
 - Observed seismic effects
- Conclusions



Dam control - post-earthquake procedure

The procedure

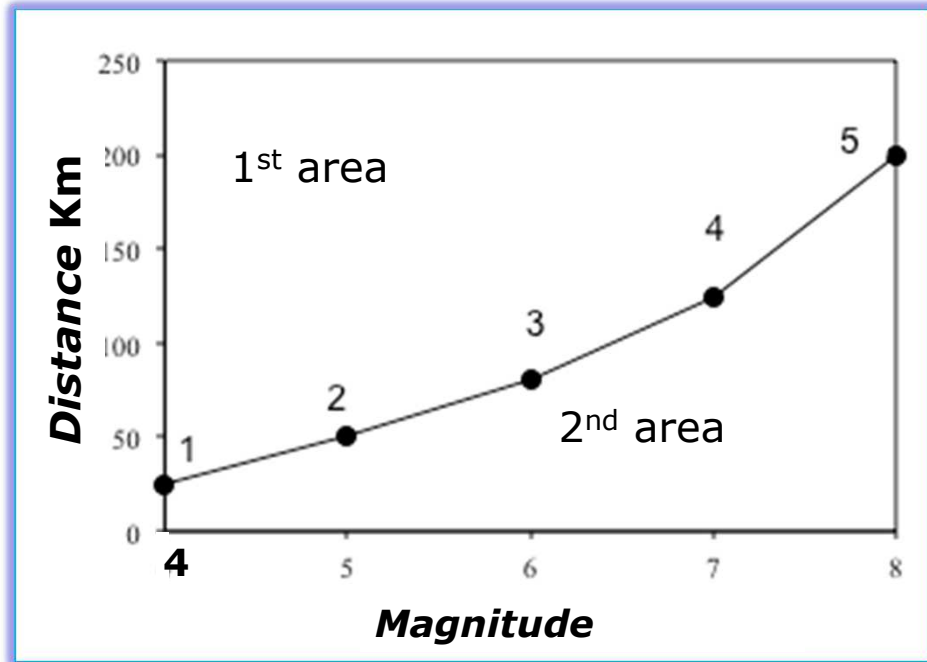
Controls for events of magnitude $M \geq 4$

- Dams inside a specific area depending on the magnitude of the earthquake
- Dams near the area if with effects at the dam site
- Controls: immediate visual, instrumental measurements
 - Dam Engineer ➡ Report of dam safety



Dam control

- First area : no control except for the case that the earthquake has been felt at the site of the dam
- Second area: immediate inspections and all essential measures for safety





Observed behaviour of Italian dams under historical earthquakes – Selection of dams

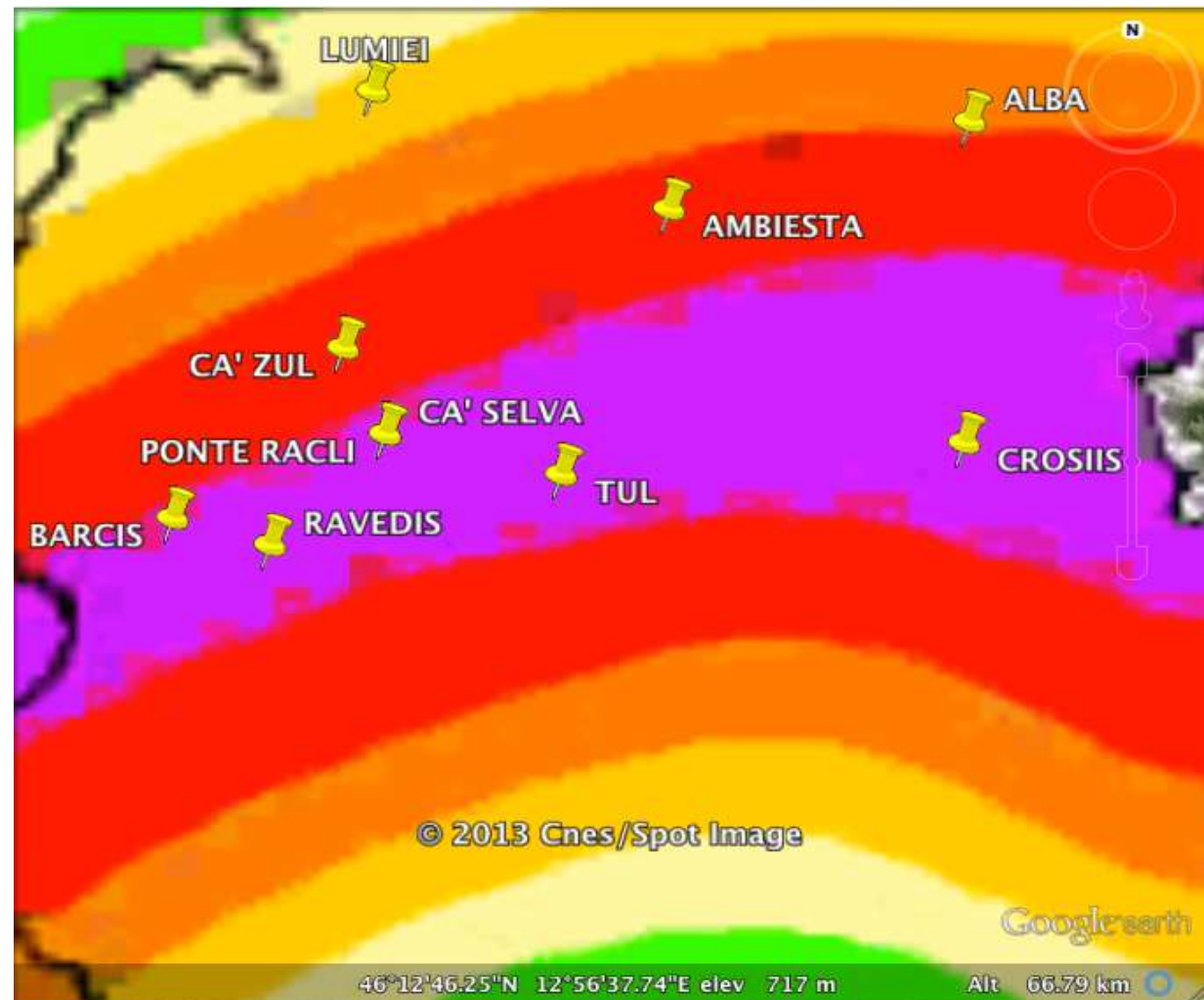
- First step: **selection** of dams to be studied (from 539 to 100)
- Method: selection of the cases with highest seismic input
- Possible criteria to compare the dams:
 1. design seismic action reported in the current Italian Code
 2. collection of the seismic Intensities (DBMI04 database) during past earthquakes
 3. estimation of shaking parameters (Maximum Acceleration) during past earthquakes



Observed behaviour of Italian dams under historical earthquakes – Selection of dams

Criterion 1: Design seismic action

- The national territory is divided into cells
- For each vertex the peak ground acceleration (PGA) is defined (probabilistic seismic hazard)
- This approach does not consider if a dam has been really involved in historical earthquakes

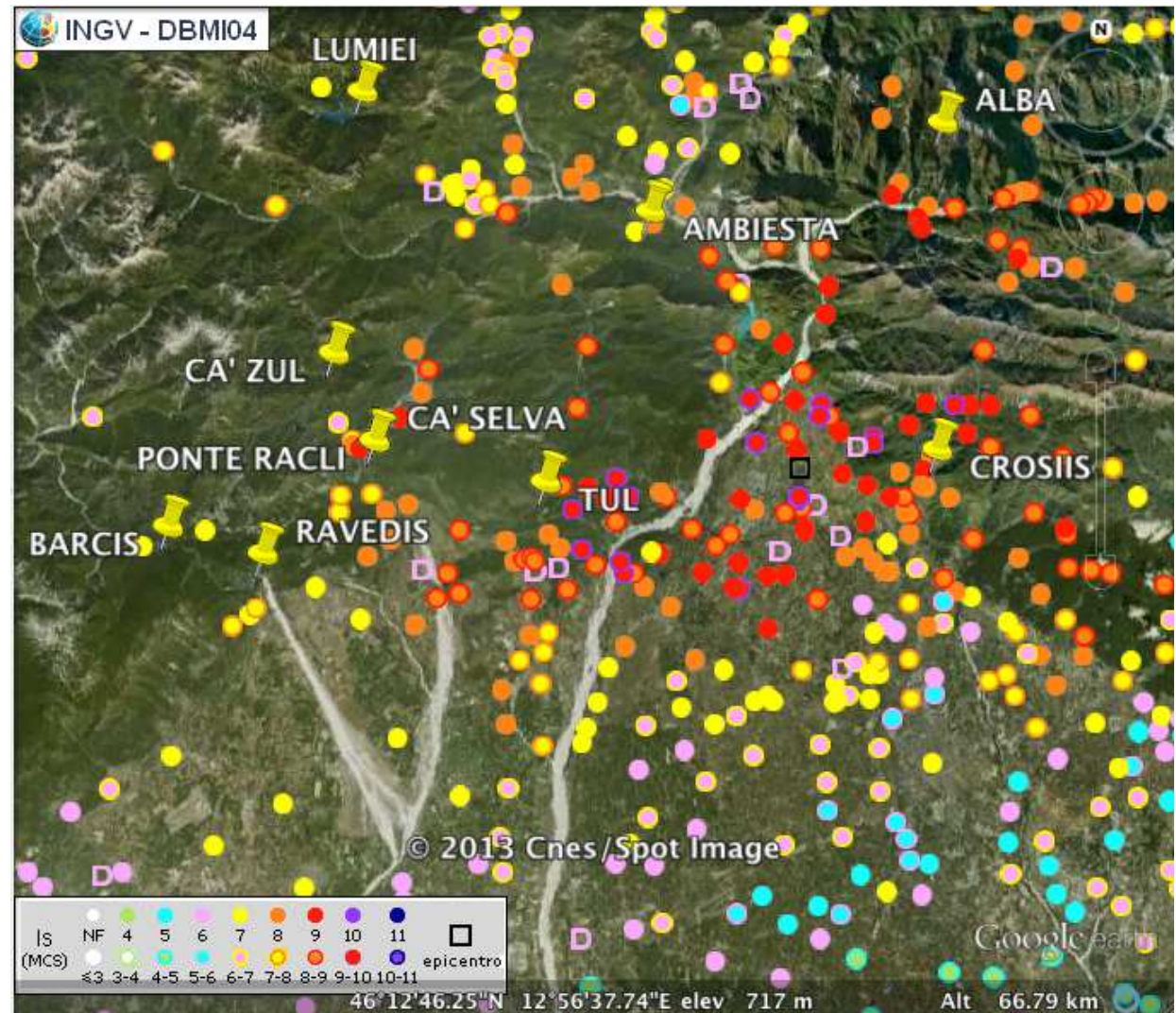




Observed behaviour of Italian dams under historical earthquakes – Selection of dams

Criterion 2: Macroseismic Intensity

- DBMI04 database collects the **Intensity** for several locations (municipalities, villages)
- $I_s \geq VI$ at a maximum distance of 10 km
- 77 dams selected

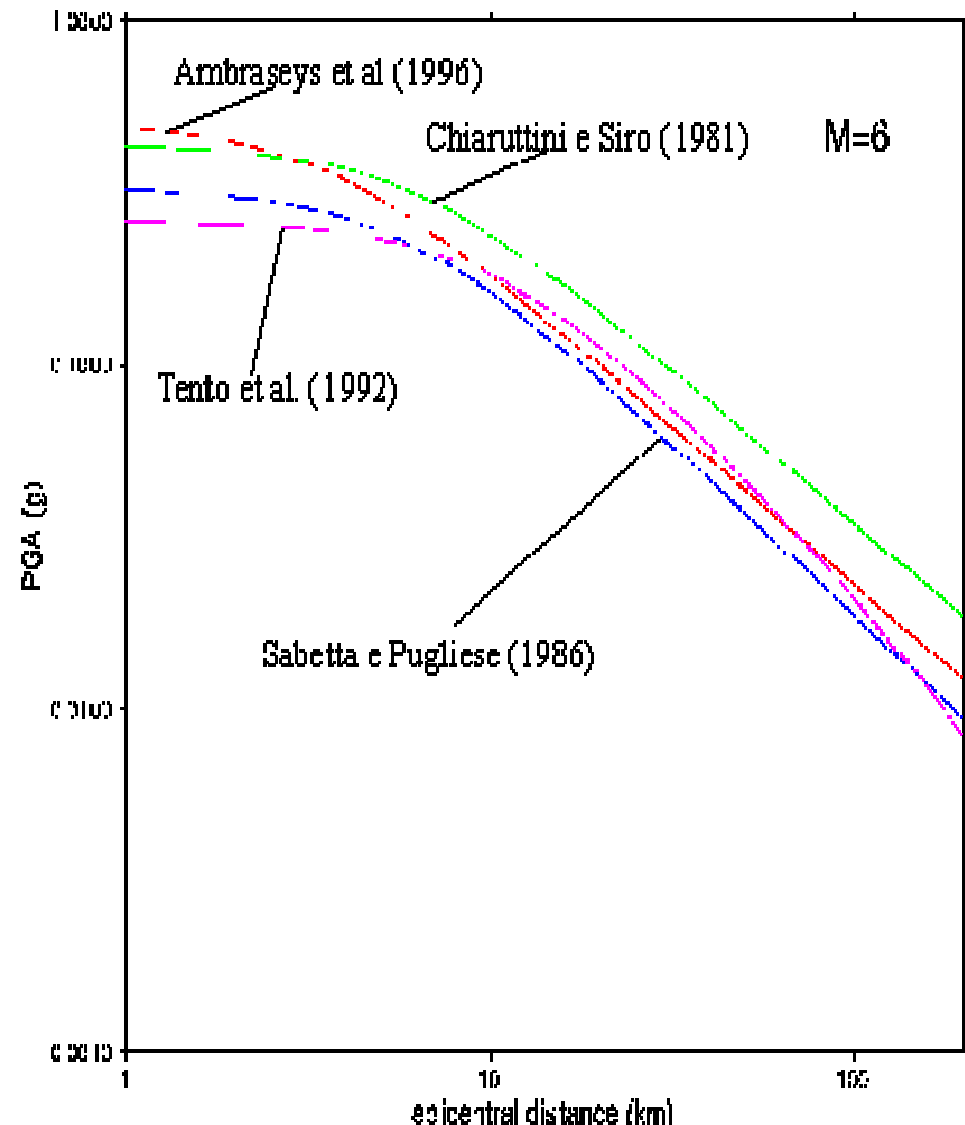




Observed behaviour of Italian dams under historical earthquakes – Selection of dams

Criterion 3: Attenuation Laws

- CPTI04 catalogue collects the **Magnitude** for each earthquake
- From Magnitude and **distance** a strong motion parameter can be estimated
- Attenuation law: $\text{Log (PGA)} = -1.845 + 0.363 \cdot M - \text{Log} (R^2 + 5^2)^{1/2}$
- $\text{PGA} \geq 0.07 \text{ g}$ (corresponding to $I_{\text{MCS}} \approx \text{VI}$).
- 100 dams selected





Observed behaviour of Italian dams under historical earthquakes – Selection of dams

Dam	Is	PGA (Is)	PGA (atten. g)	min PGA (g)	max PGA (g)	PGV (cm/s)
CORFINO	10	0.450	0,325	0,210	0,503	18,8
CASTEL S. VINCENZO	8	0.178	0,312	0,201	0,483	25,8
CROSIIS	9-10	0.357	0,302	0,195	0,468	17,1
MURO LUCANO	8	0.178	0,250	0,161	0,387	20,3
VASCA OGIASTRO	6-7	0.089	0,241	0,156	0,373	18,2
BASTIA	9	0.283	0,236	0,152	0,366	16,9
VILLA PERA	7-8	0.142	0,221	0,143	0,342	12,4
MULINELLO	6-7	0.089	0,220	0,142	0,341	11,6
ACCIANO	8-9	0.225	0,204	0,132	0,316	10,5
FIUMARA GRANDE	6-7	0.089	0,196	0,127	0,304	9,8
MONTAGNA SPACCATA 3	8	0.178	0,193	0,125	0,299	13,1
MONTAGNA SPACCATA 1	8	0.178	0,188	0,121	0,291	9,3
SAN PIETRO	7	0.112	0,187	0,121	0,290	15,1
MONTAGNA SPACCATA 2	8	0.178	0,185	0,119	0,287	9,1

Criterion 2

Criterion 3



Study of the dams

- 100 dams selected → each dam describes an experience
- → Each dam has over than 1 event higher than 0,07 g
- → Total examined events are much more than 100

For each dam inspection data and information (stored) in archives and at dam site

A **Check List** was developed to collect information



Check-list

CHECK LIST FOR EARTH DAMS

Instability of dam body,
liquefaction, uncontrolled seepage

**Effects on the shores
of reservoir**

Stability of the banks, description of
the landslide, interference with the
dam body, interferences with the
gates and accesses to the dam

**complementary and
auxiliary structures**

the gates, put mechanical elements
out of use

**Check after
earthquake**

Check before 2002 – check after
2002 extraordinary procedure

CHECK LIST FOR

Data of dam

Seismic event

Check after
earthquake

Effects on dam and
soil foundation

Effects on discharges
and on
complementary and
auxiliary structures

Effects on the shores
of reservoir

Date
epic
pga
of ea

Chec
2002

Insta
liquefaction, uncontrolled seepage,
possible overflow, breakage of
sealing element

Mechanical blocking of the moving
elements, mechanical instability of
the gates, put mechanical elements
out of use

Stability of the banks, description of
the landslide, interference with the
dam body, interferences with the
gates and accesses to the dam

Longitude, Elevation of top
of dam, year of
construction, strategic structure or

Distance from
centre (DBMI04), pgv,
level of reservoir at the time
of earthquake

before 2002 – check after
extraordinary procedure

Instability of dam body,
breakage of joints, breakage sealing
element of joints, breakage of
drainage system, screen break in
foundation, overflow, breakage of
the monitoring system

Effects on dam body
and soil foundation

Effects on discharges
and on
complementary and
ancillary structures

Effects on the shores

Mechanical blocking of the moving
elements, mechanical instability of the gates,
mechanical elements out of use

Stability of the banks, description of
the landslide, interference with the
dam body, interferences with the



Observed Seismic Effects

Results

In some cases we did not find data; especially for the most early seismic events.

The examined cases showed that:

- 1) In most cases dam and power plant are not influenced by seismic event;
- 2) Two earth dams showed damages resulting in needed repair work: Ogliastro and Acciano
 - Ogliastro basin: Lesions of the bituminous facing with consequent leakage of water through the dam body;
 - Acciano dam: Longitudinal lesions parallel to the top of the dam together with transverse lesions;
- 3) Piana degli Albanesi masonry gravity dam:
 - Release of water through the structure without compromising the stability of the dam;
- 4) For power plant, some damages that often didn't prevent normal functioning;



The observed seismic effects

The first group of dams

(N.A = NO DATA FOUND, N.F. = REGULAR OPERATION, S.L.O. = LIMIT STATE OF OPERATION, S.L.D. = LIMIT STATE OF DAMAGE, S.L.V. = LIMIT STATE OF LIFE SAFETY, S.L.C.= LIMIT STATE OF COLLAPSE)

DAM	Date of seismic event	Distance	Msp	pga(g)	Imcs	PGV	End of construction	Effects observed
BARREA	07/05/1984	11,6	5,7	0,129	8	6,1	1951	N.F.
ANCIPA	31/10/1967	11,5	5,4	0,108	7,5	4,8	1953	N.F.
SELVA	07/05/1984	9,5	5,7	0,152	7	9,9	1958	N.F.
SCANDARELLO	12/03/1950	6,7	5	0,111	8	4,8	1927	N.F.
PIAGANINI	06/04/2009	30,5	6,3	0,089	6	4,7	1955	N.F.
PROVVIDENZA	06/04/2009	20,3	6,3	0,132	5,5	7	1947	N.F.
RIO FUCINO	06/04/2009	23,1	6,3	0,117	5,5	6,1	1971	N.F.
SELLA PEDICATE	06/04/2009	20,1	6,3	0,134	5,5	7	1971	N.F.
POGGIO CANCELLI	06/04/2009	24,7	6,3	0,11	5,5	7,8	1969	N.F.
PIANA DEGLI ALBANESI	15/01/1968	outside selection criteria			7			S.L.V.
PIANA DEGLI ALBANESI	06/09/2002	16,1	5,6	0,093	7	5,8	1923	N.F.
MASSERIA NICODEMO	09/09/1998	4,7	5,4	0,182	6	12,2	1975	N.F.
COLLEMEZZO	14/10/1966	7,2	4,5	0,072	6,5	3,8	1928	N.A.
CORFINO	07/09/1920	8,8	6,48	0,319	10,0	18,8	1914	N.F.
CASTEL SAN VINCENZO	07/05/1984	1,5	5,67	0,312	8,0	25,8	1958	N.F.
CROSIIS	06/05/1976	8,5	6,43	0,312	9,5	17,1	1901	S.L.O.
VASCA OGIASTRO	13/12/1990	2,2	5,41	0,241	6,5	3,9	1970	S.L.D.
MUROLUCANO	23/11/1980	19,3	6,89	0,227	8,0	20,3	1917	N.F.
VILLA PERA	26/11/1972	1,1	5,23	0,220	7,5	12,4	1955	N.F.
MULINELLO	13/12/1990	3,7	5,41	0,211	6,5	11,6	1970	S.L.O.
ACCIANO	26/09/1997	8,9	5,95	0,202	8,5	10,5	1986	S.L.D.
CROSIIS	15/09/1976	8,5	5,91	0,202	9,5	17,1	1901	S.L.O.
MONTAGNA SPACCATA 3	07/05/1984	7	5,67	0,190	8,0	13,1	1958	S.L.O.
FIUMARA GRANDE	13/12/1990	5	5,41	0,187	6,5	9,8	1970	N.F.
MONTAGNA SPACCATA 1	07/05/1984	7,3	5,67	0,185	8,0	9,3	1958	S.L.O.
MONTAGNA SPACCATA 2	07/05/1984	7,5	5,67	0,181	8,0	9,1	1958	S.L.O.
AMBIESTA	06/05/1976	18,6	6,43	0,160	8,5	8,5	1959	S.L.O.
GROTTACAMPANARO	07/05/1984	13,2	5,67	0,116	7,0	6,1	1954	N.F.
CA' SELVA	06/05/1976	28,3	6,43	0,107	9,0	5,5	1963	N.A.
CA' ZUL	06/05/1976	5,2	6,4	0,090	8,0	5,0		S.L.O.



Ogliastro





Ogliastro

Ogliastro basin

H= 22 m

Volume L. 584/94 = 4,31 x 10⁶ m³

There were lesions in the bituminous lining



SEISMIC EVENT 13/12/1990

Imcs =6,5

M=5,41

D=2,2 Km

PGA=0,241g





Piana degli Albanesi



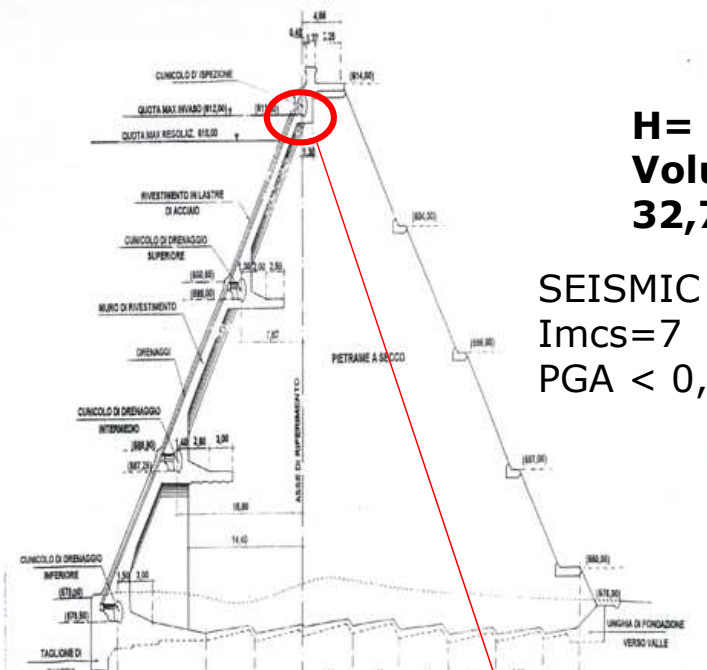


Piana degli Albanesi

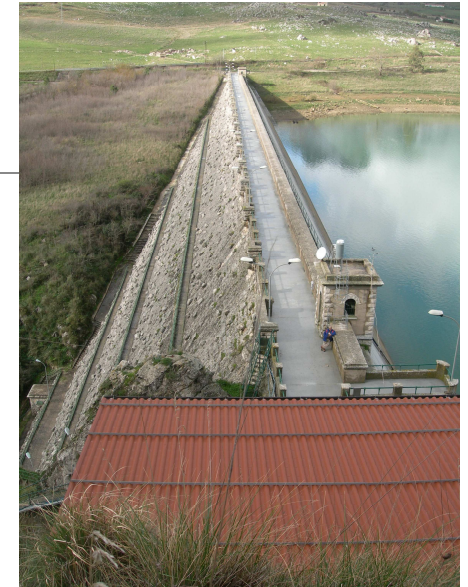
Cracks in the internal passages with an uncontrolled release of water without compromising the stability of dam.

H= 38 m
Volume =
 $32,75 \times 10^6 \text{ m}^3$

SEISMIC EVENT 15/01/1968 BELICE
Imcs=7
PGA < 0,07 OUTSIDE SELECTION CRITERIA



Cracks into the internal passage





CONCLUSIONS

- The study shows that the dams generally have a good behavior under seismic events;
- Controls allow a level of maintenance and safety that can be considered satisfactory;
- Monitoring activities reduce risk of critical behaviour during a strong earthquake;
- The activities of the group have been presented at the 9th ICOLD European Club Symposium, Venice 10-12 April 2013,: "Observed behavior of Italian dams under historical earthquakes" A. Catalano, R. Caruana, F. Del Gizzi, A. De Sortis



ITCOLD Working Group

'Behaviour of Italian dams for historical earthquakes'





EWG Dams and Earthquakes

**Strong motion data recorded during the
2016-2017 seismic sequence in Central
Italy
Preliminary remarks**

Adriano De Sortis

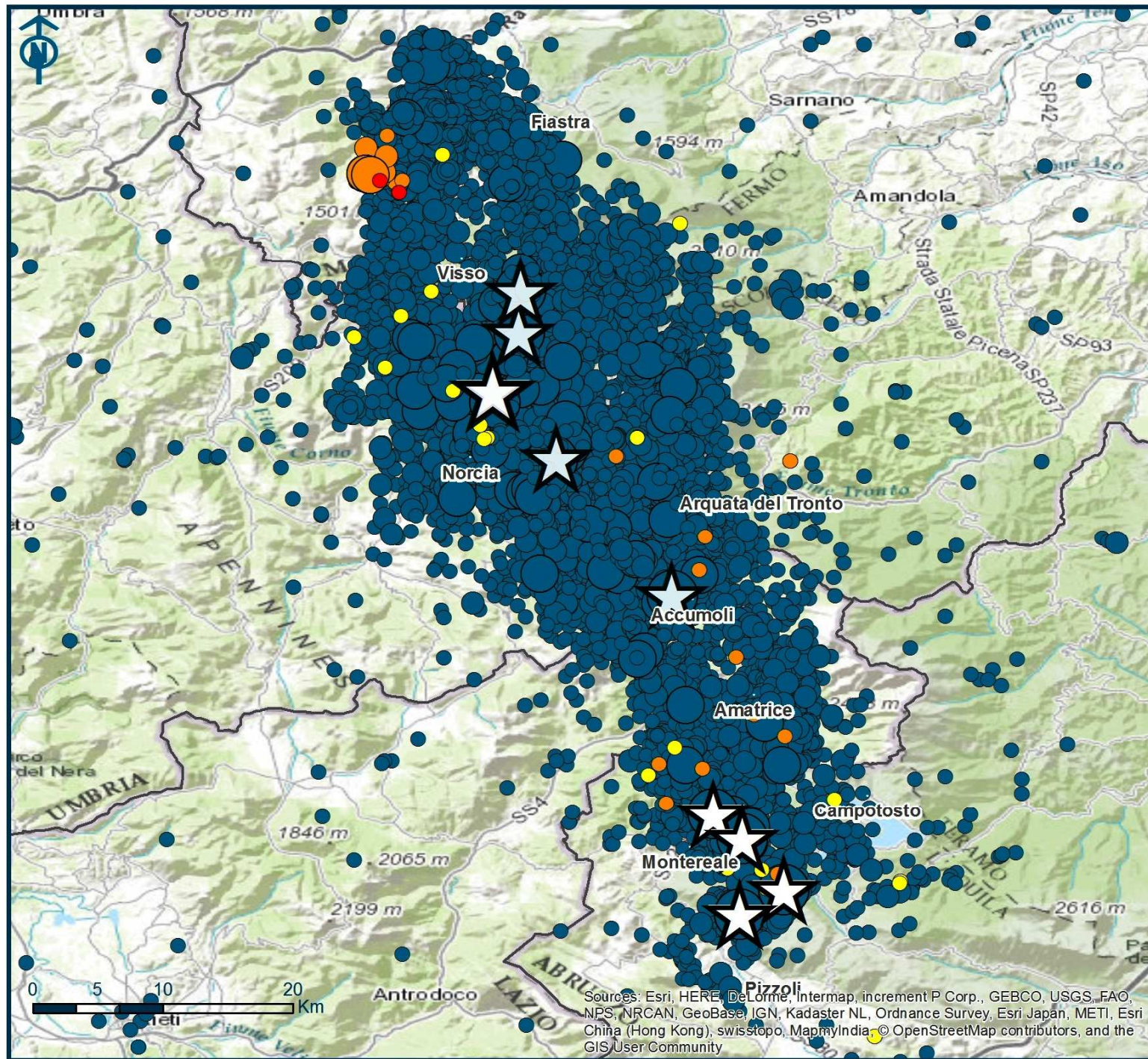
Roma 6,7 Febbraio 2017



Summary

-
- Locations of the main shocks and main seismic sources
 - Large dams in epicentral area
 - Large dams and seismic sources
 - Strong motion data recorded near large dams
 - Post-earthquake experimental modal analysis

Seismic sequence in Central



SEQUENZA SISMICA IN ITALIA CENTRALE (agg. 3 febbraio ore 08:00)

Magnitudo (M)

- da 2.0 a 2.9
- da 3.0 a 3.9
- da 4.0 a 4.9



da 5.0

Tempo

- Ultima ora
- Ultime 24 ore
- Ultime 72 ore
- Dal 24 agosto 2016

fonte dati: <http://cnt.rm.ingv.it>

SEGUICI SU:

<http://ingvterremoti.wordpress.com>





Events with Magnitude ≥ 5

Date	Time (UTC)	Lat.	Long.	Depth (Km)	Magnitude	Strike (°)
24/08/16	2.33.29	42.792	13.151	8	5.4	135
24/08/16	1.36.32	42.698	13.234	8.1	6	155
26/10/16	19.18.06	42.909	13.129	7.5	5.9	159
26/10/16	17.10.36	42.88	13.128	8.7	5.4	161
30/10/16	6.40.17	42.832	13.111	9.2	6.5	151
18/01/17	13.33.37	42.477	13.281	10	5	188
18/01/17	10.25.24	42.494	13.311	8.9	5.4	140
18/01/17	10.14.10	42.529	13.282	9.1	5.5	161
18/01/17	9.25.40	42.547	13.262	9.2	5.1	153

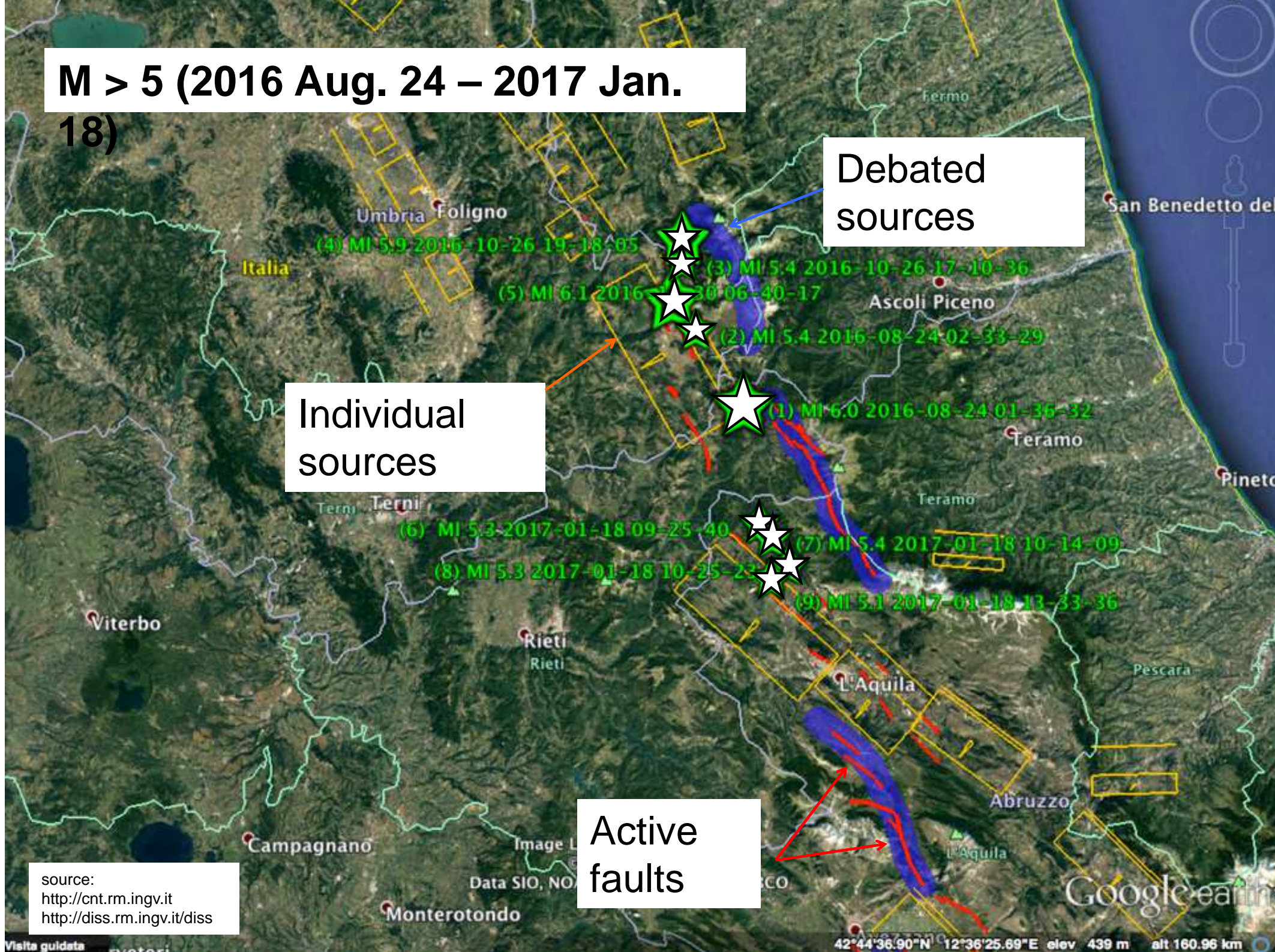
**M > 5 (2016 Aug. 24 – 2017 Jan.
18)**

Debated
sources

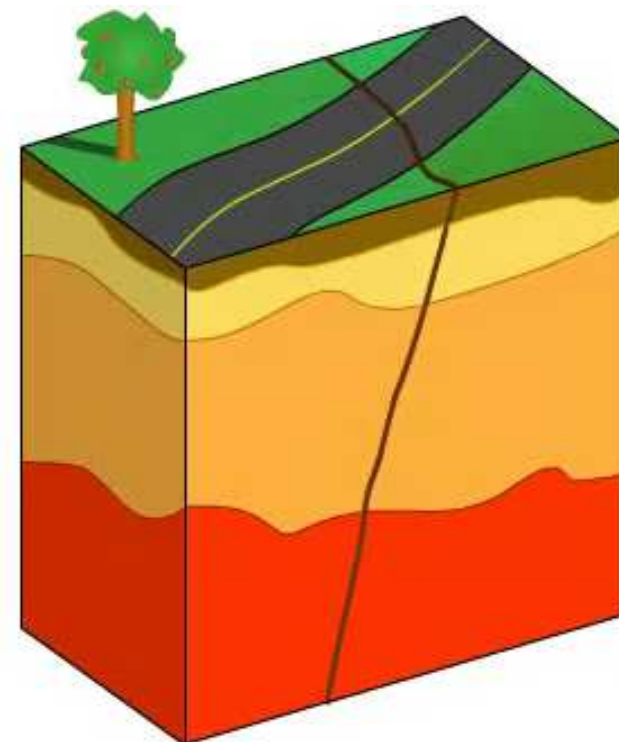
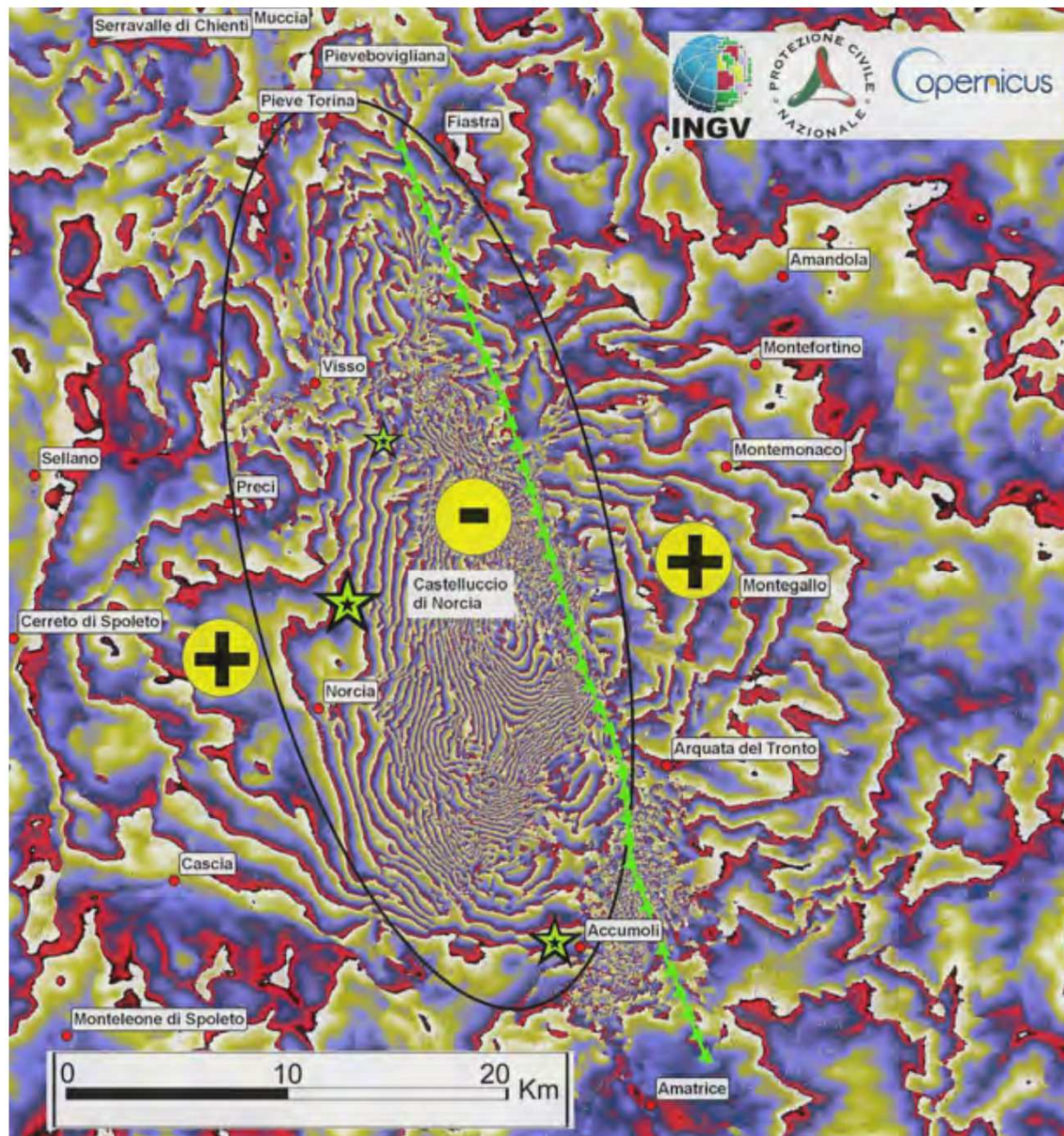
Individual
sources

Active
faults

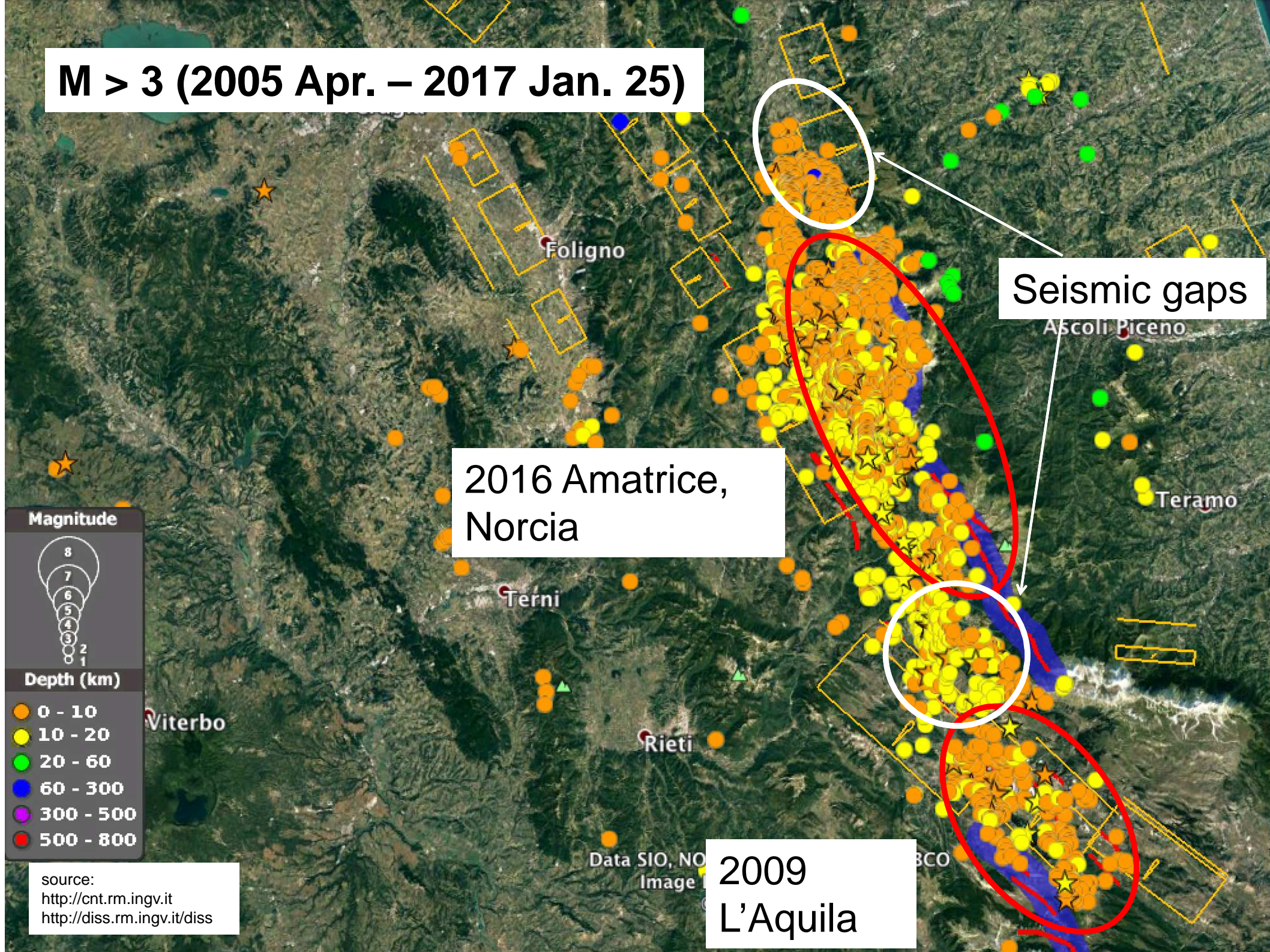
source:
<http://cnt.rm.ingv.it>
<http://diss.rm.ingv.it/diss>



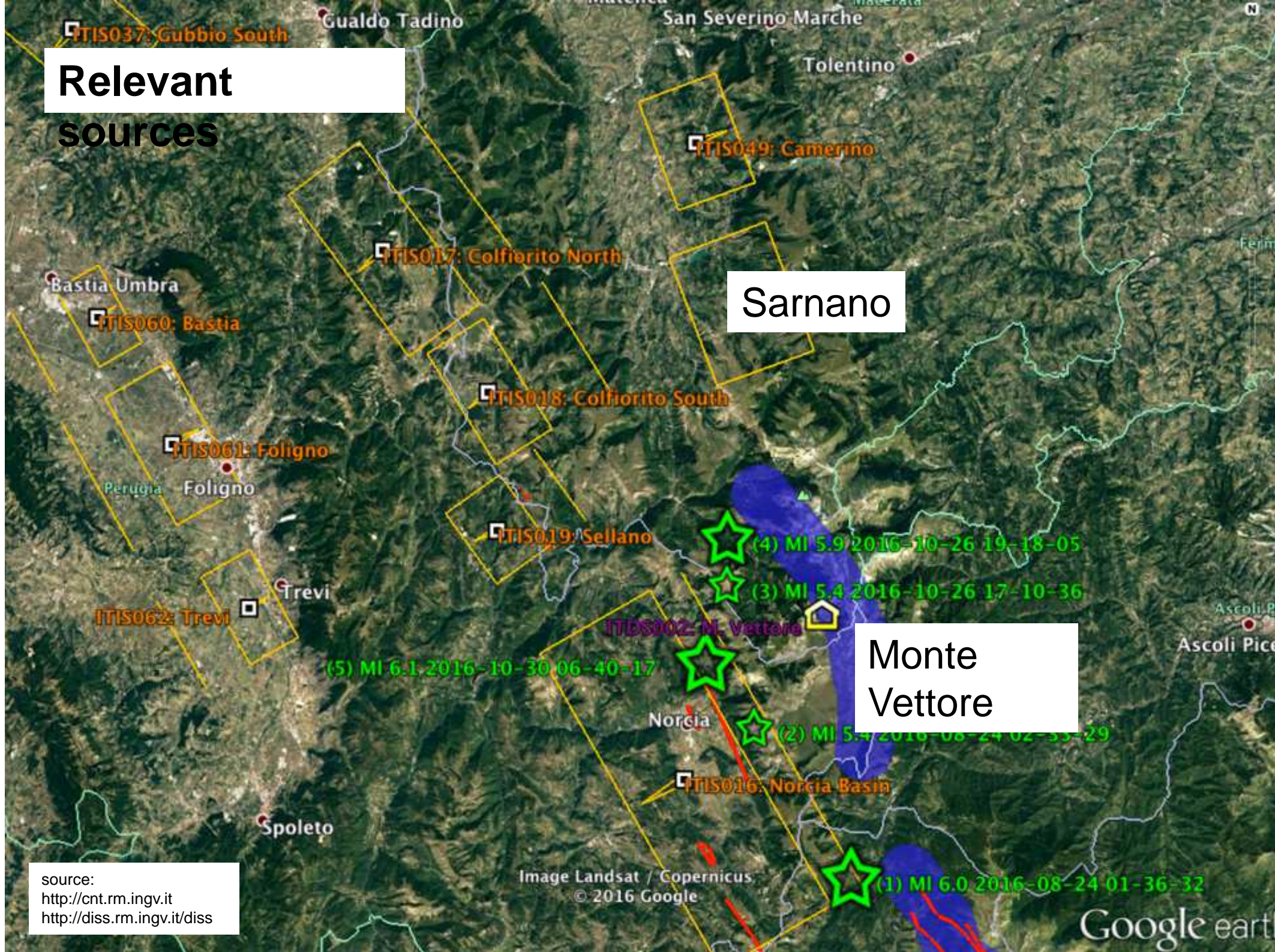
Aerial vertical displacement measured using interferometric satellite radar (26/10, 30/10)



M > 3 (2005 Apr. – 2017 Jan. 25)



Relevant sources



Sarnano

Monte
Vettore

source:
<http://cnt.rm.ingv.it>
<http://diss.rm.ingv.it/diss>

Relevant
sources

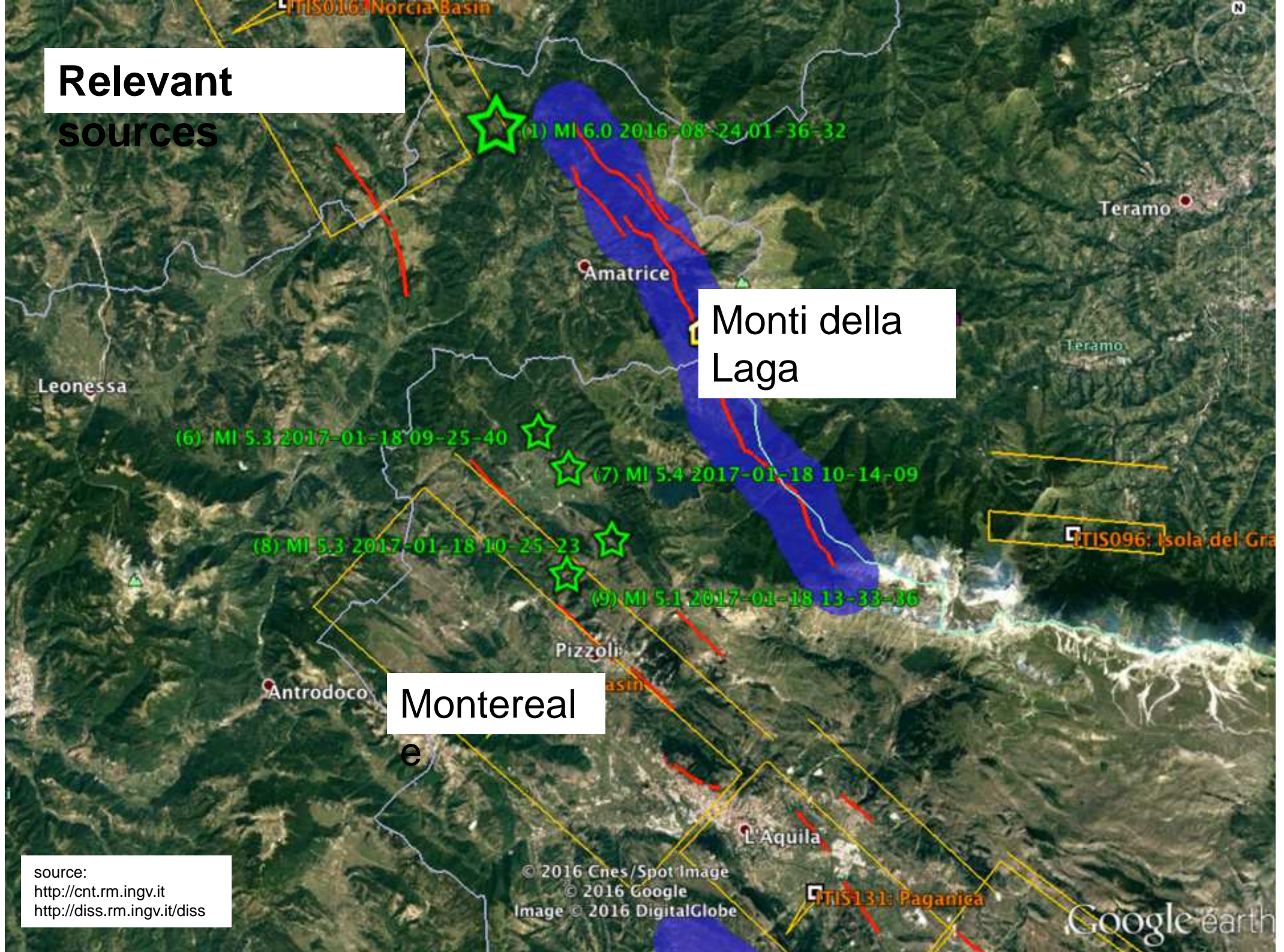
Monti della
Laga

Montereal

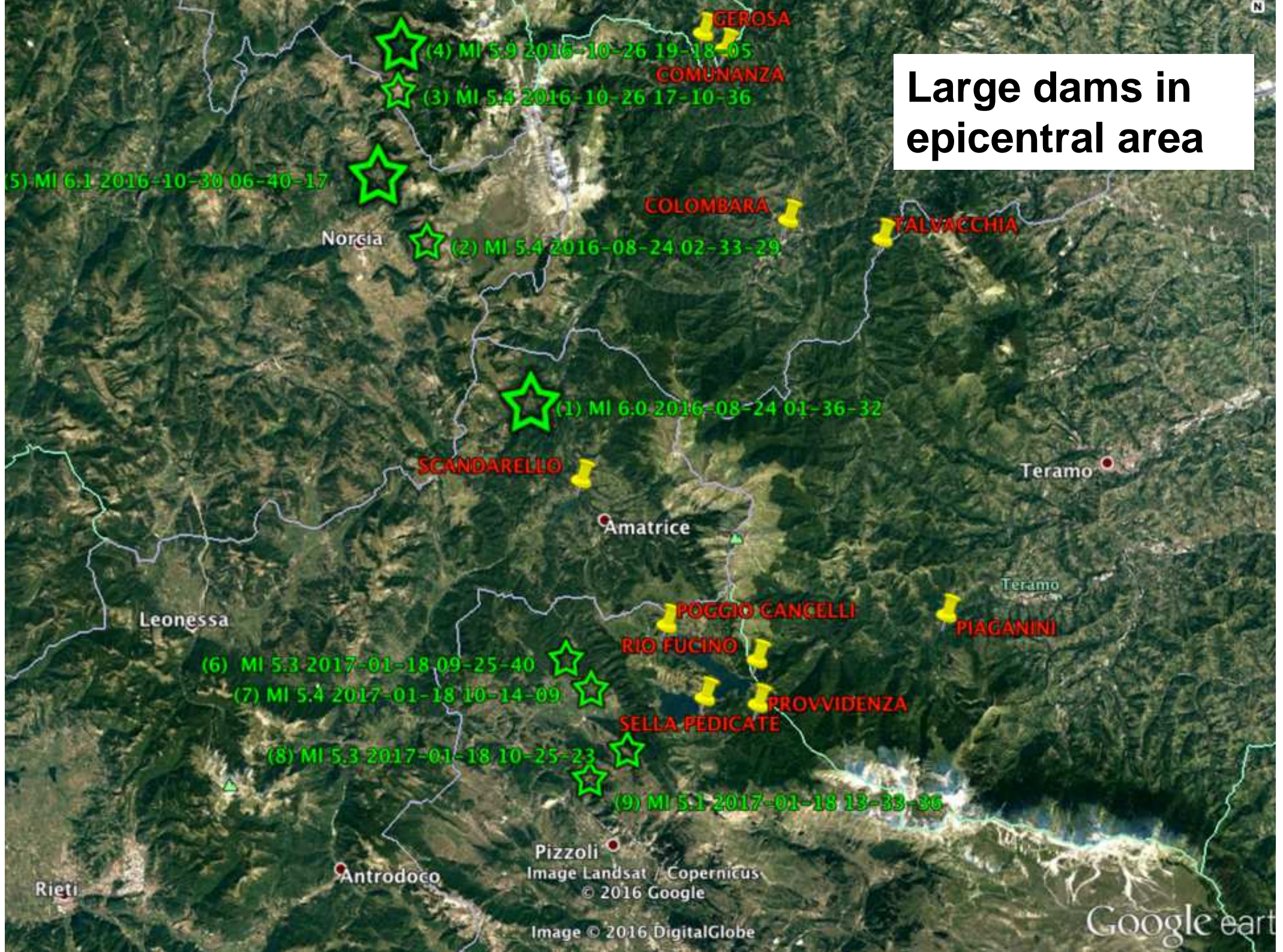
source:
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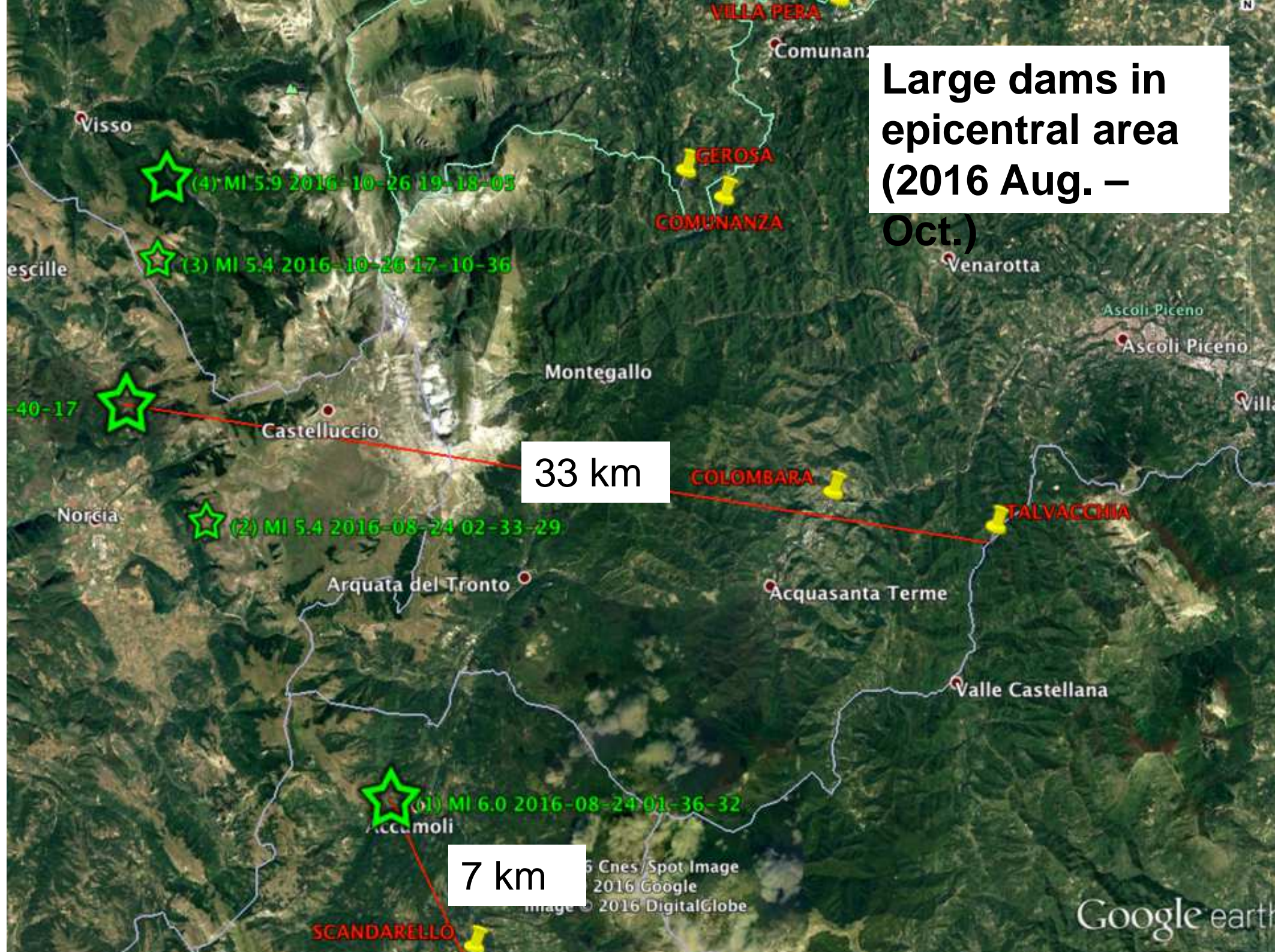
Google earth



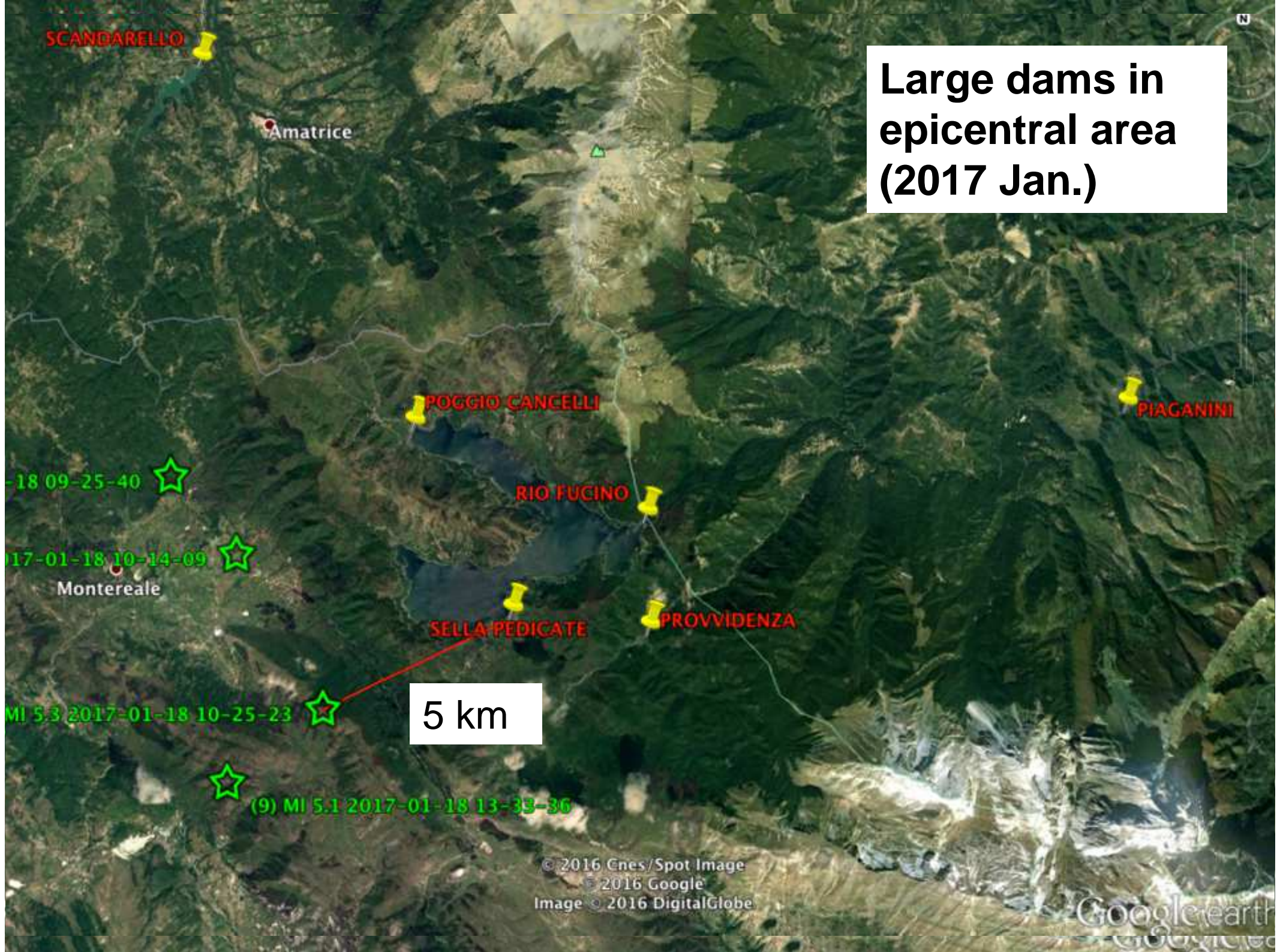
Large dams in epicentral area



Large dams in
epicentral area
(2016 Aug. –
Oct.)



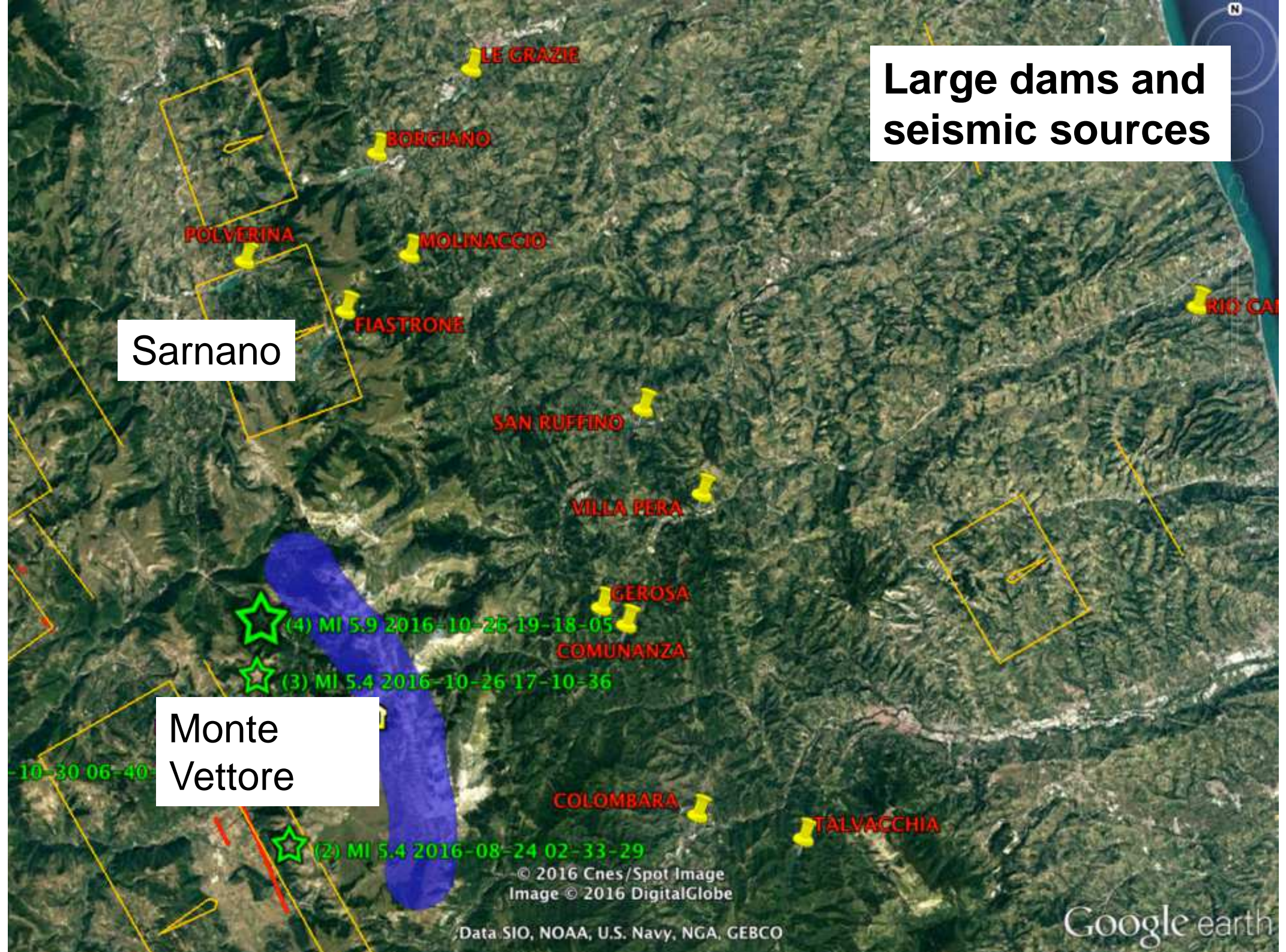
Large dams in epicentral area (2017 Jan.)



Large dams and seismic sources

Sarnano

Monte Vettore



Large dams and seismic sources

Monti della Laga

SCANDARELLO

POGGIO CANCELLI

RIO FUGINO

SELLA PEDICATE

PROVVIDENZA

© 2016 Cnes/Spot Image
Image © 2016 DigitalGlobe

Google earth

Large dams and
strong motions
stations (RAN)

POLVERINA

MOLINACCIO

FIATRONE

SAN RUFFINO

VILLA PERA

GEROSA

COMUNANZA

Google ea

PVB

MNF

SNO

UST

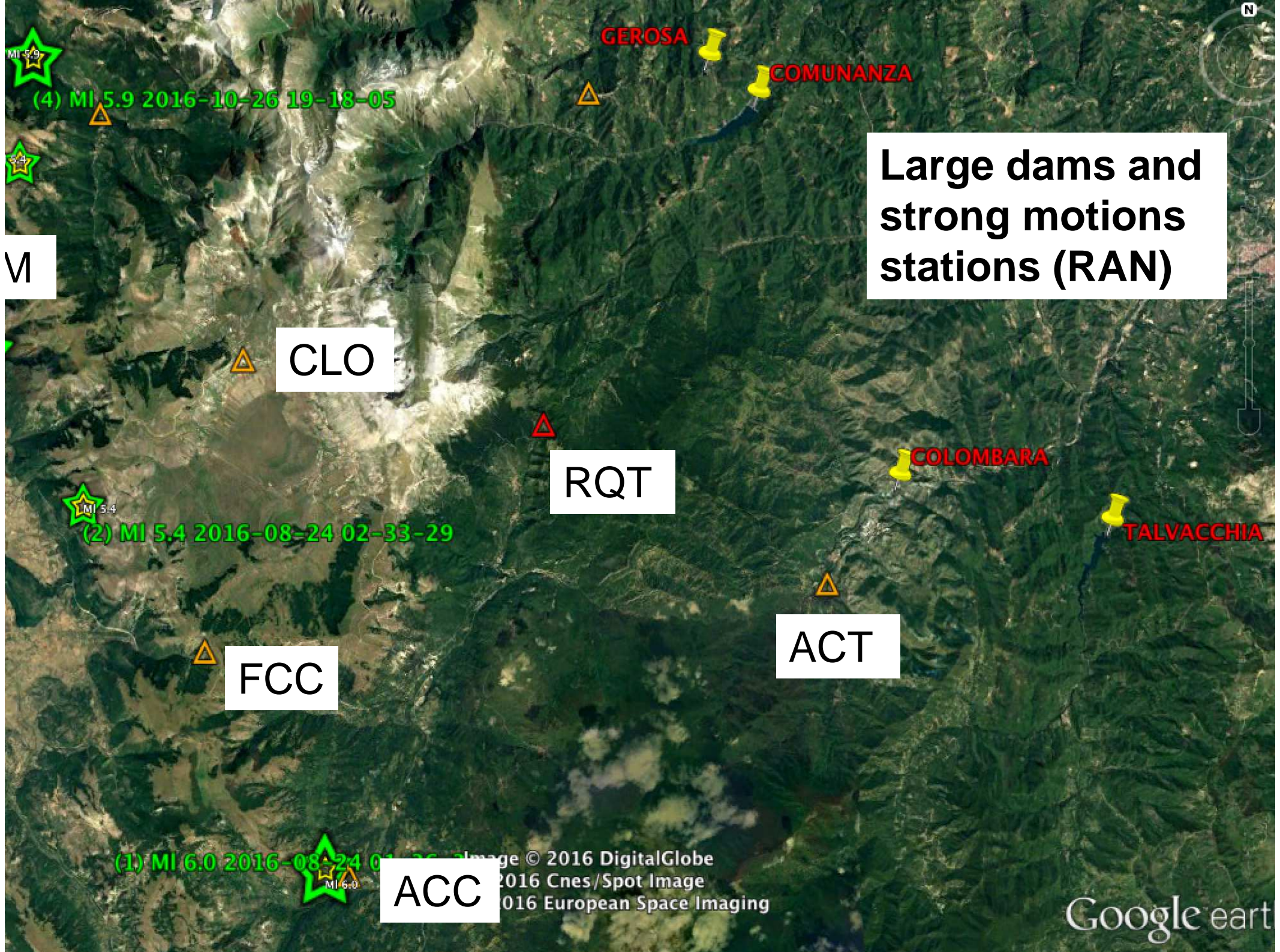
CNE

MMO



(4) MI 5.9

19-18-05



M

Large dams and strong motions stations (RAN)

CLO

RQT

FCC

ACT

ACC

(1) MI 6.0 2016-08-24 01-26-36
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Google earth

MI 6.0 2016-08-24 01-36-32



Large dams and
strong motions
stations (RAN)



SCANDARELLO



AMT

POGGIO CANCELLI



PCB



PIAGANINI

7-01-18 09-25-40



(7) MI 5.4 2017-01-18 10-14-09



MSC



RIO FUCINO

MTR

SELLA PEDICATE



PROVVIDENZA



SPD

) MI 5.3 2017-01-18 10-25-23



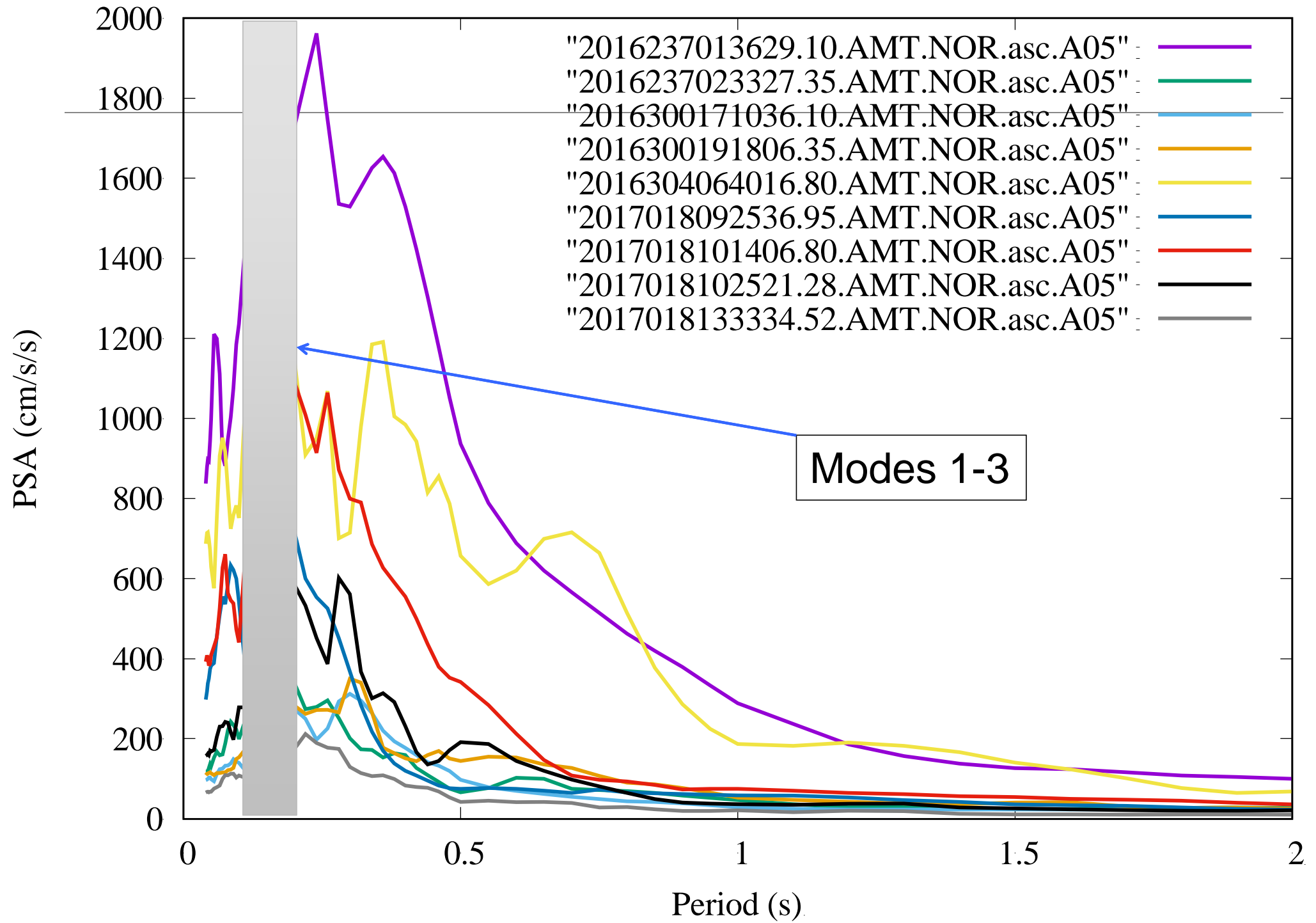
2017-01-18 13-33-36



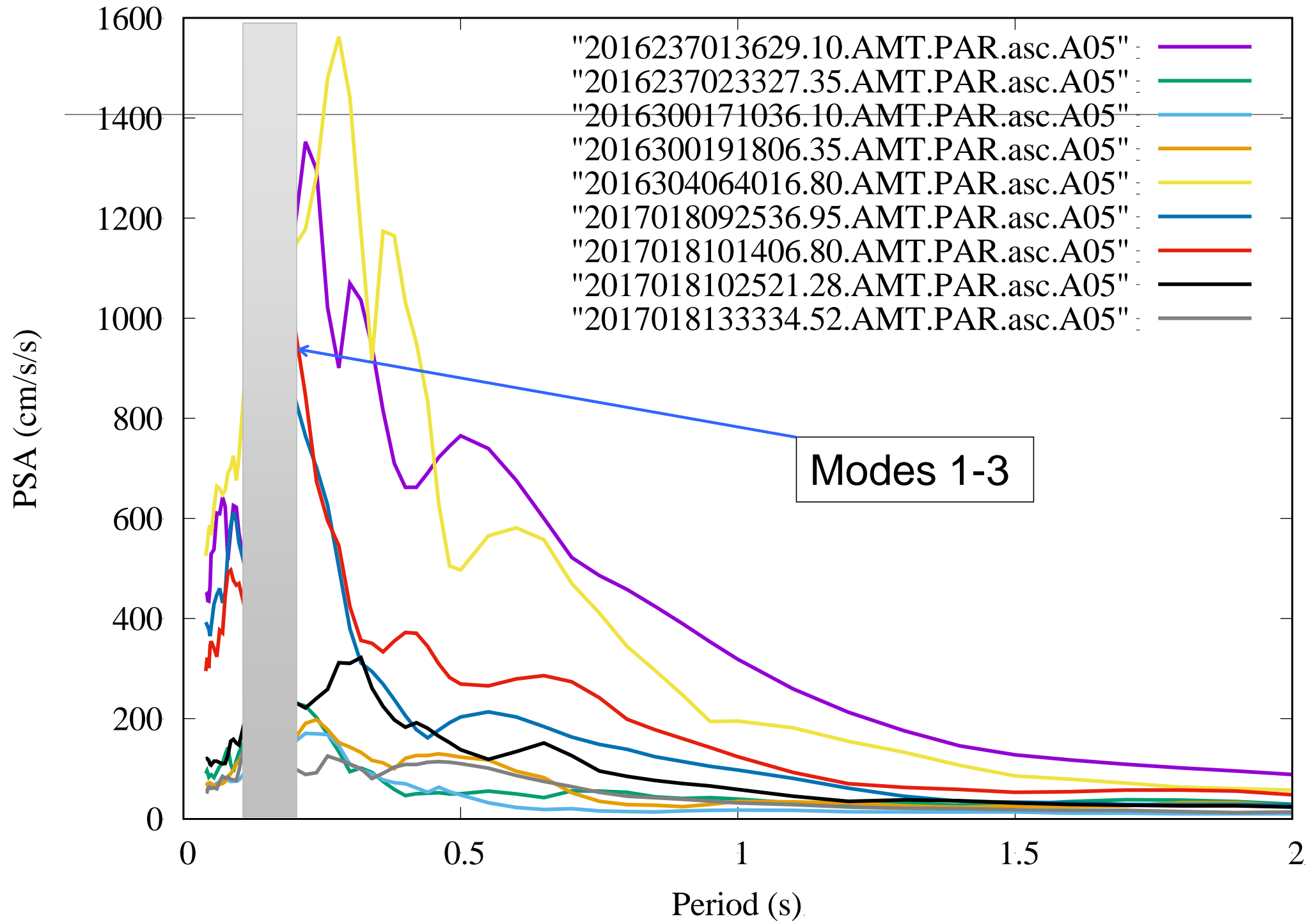
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Google earth

Scandarello Dam (AMT 1.7 km dist.) upstr-downstr

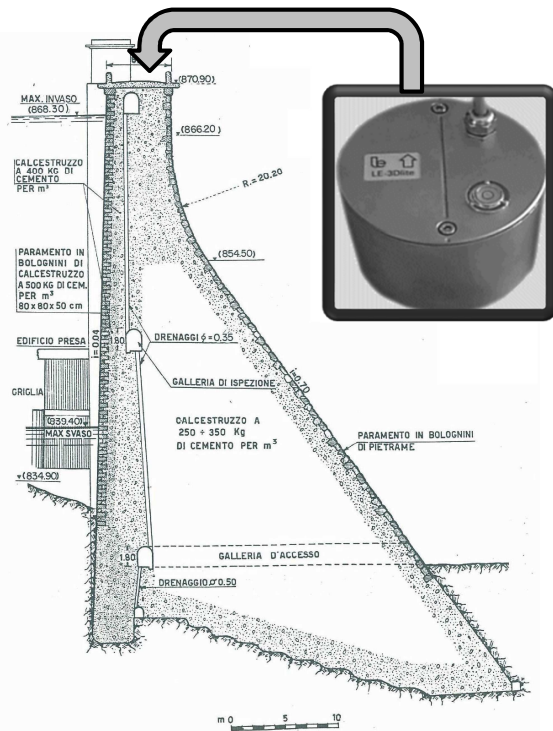
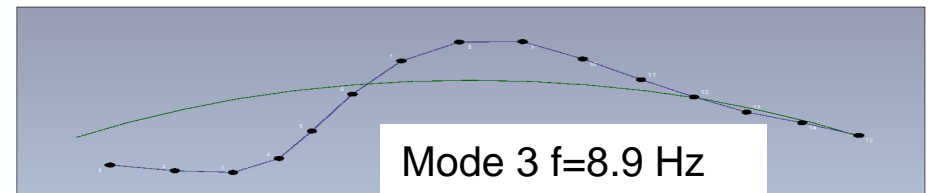
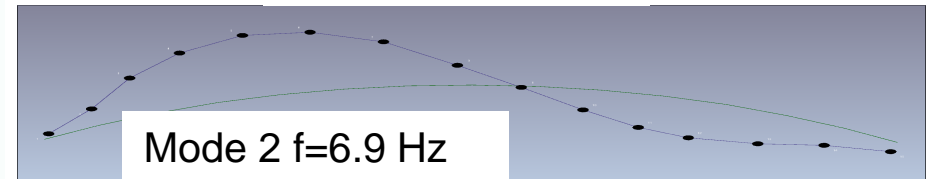
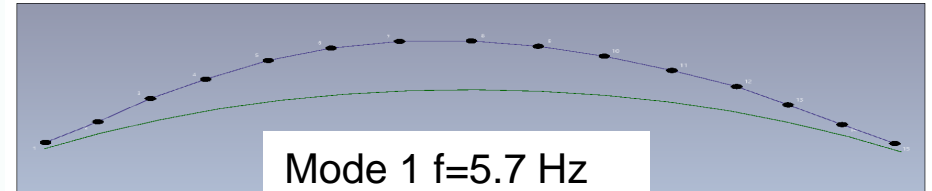
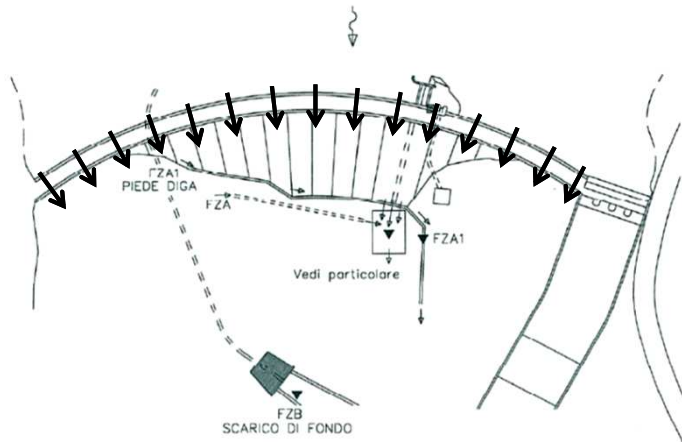


Scandarello Dam (AMT 1.7 km dist.) abut-abut



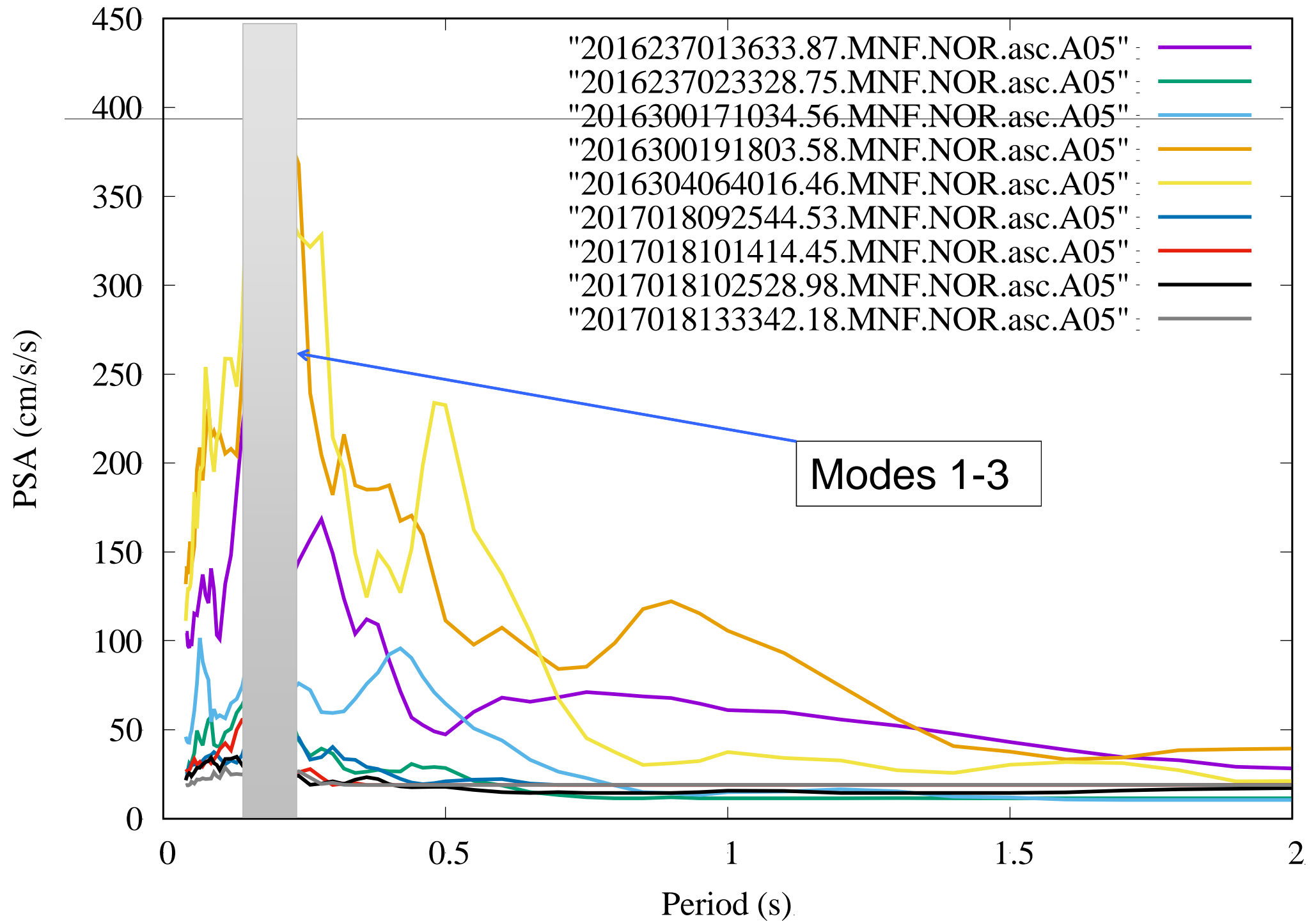


Post-earthquake experimental modal analysis Scandarello dam

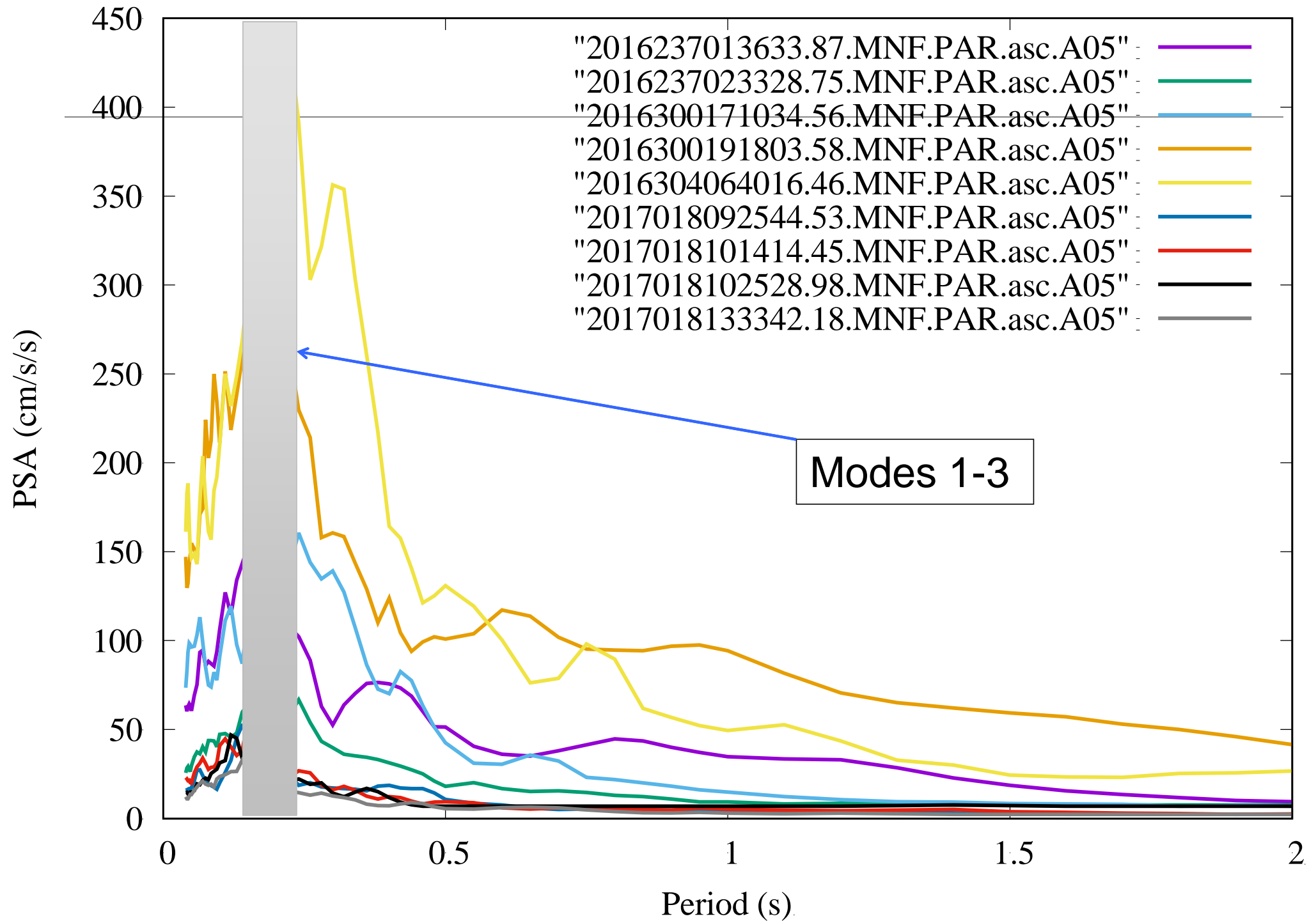


- 15 velocimeters deployed at the dam crest
- 2 hrs. ambient vibration measurement (12 Nov. 2016)
- spectral analysis and peak picking
- comparison of modal frequencies and deformed shapes with historical data (1988 and 1993): forced vibration transfer functions
- good agreement of dynamical parameter before and after the 2016 earthquake

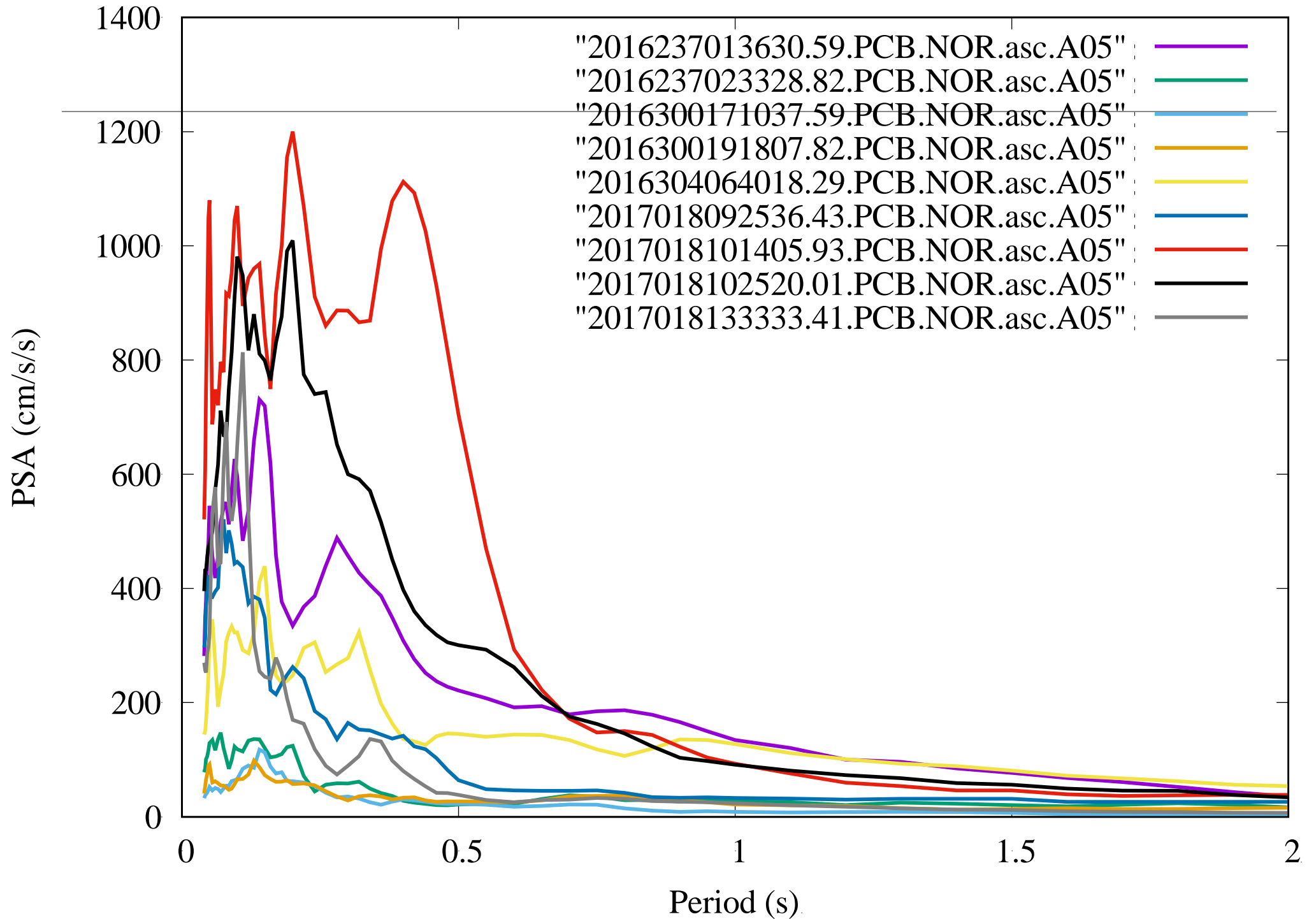
Fiastrone Dam (MNF 0 km dist.) upstr-downstr



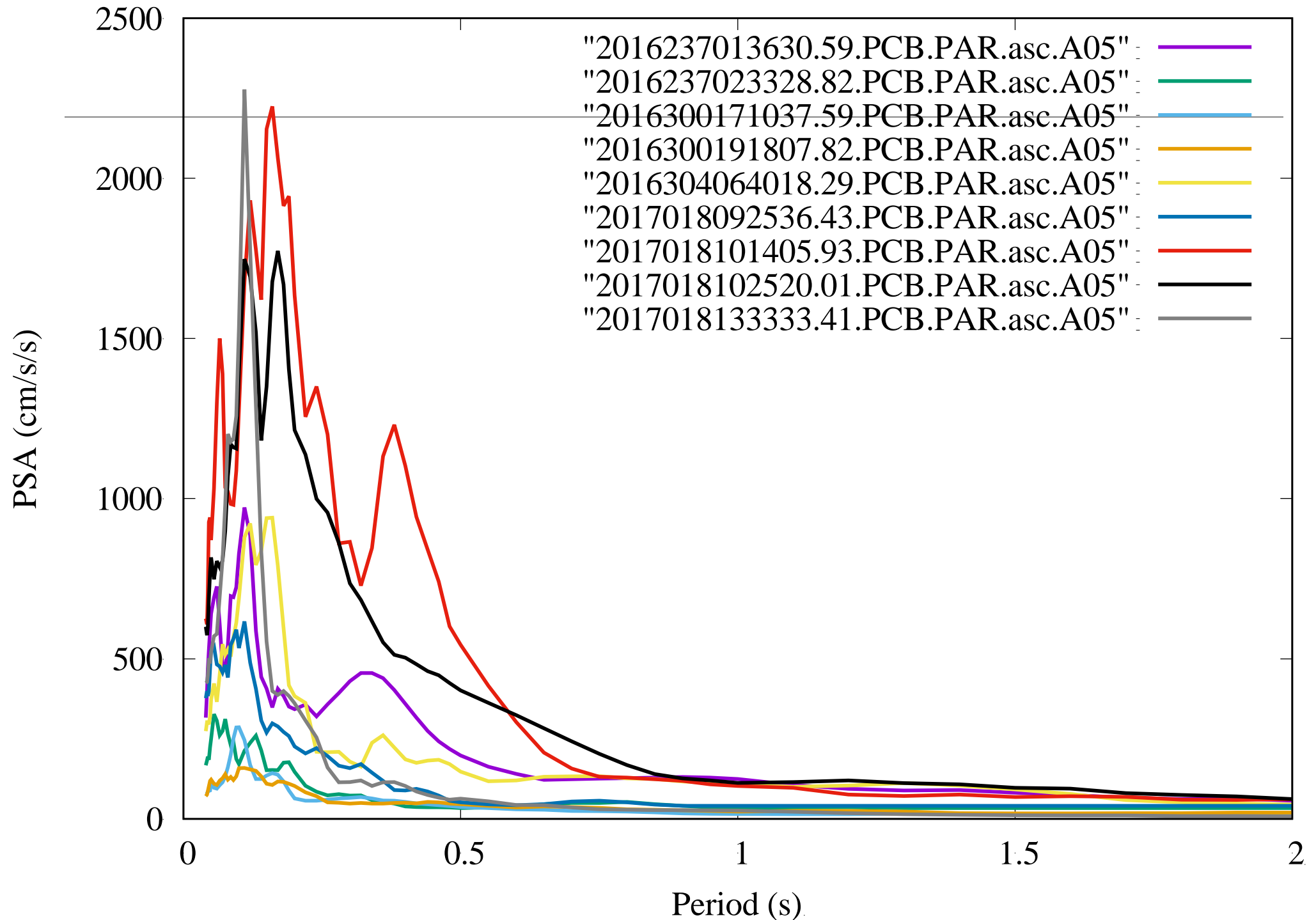
Fiastrone Dam (MNF 0 km dist.) abut-abut



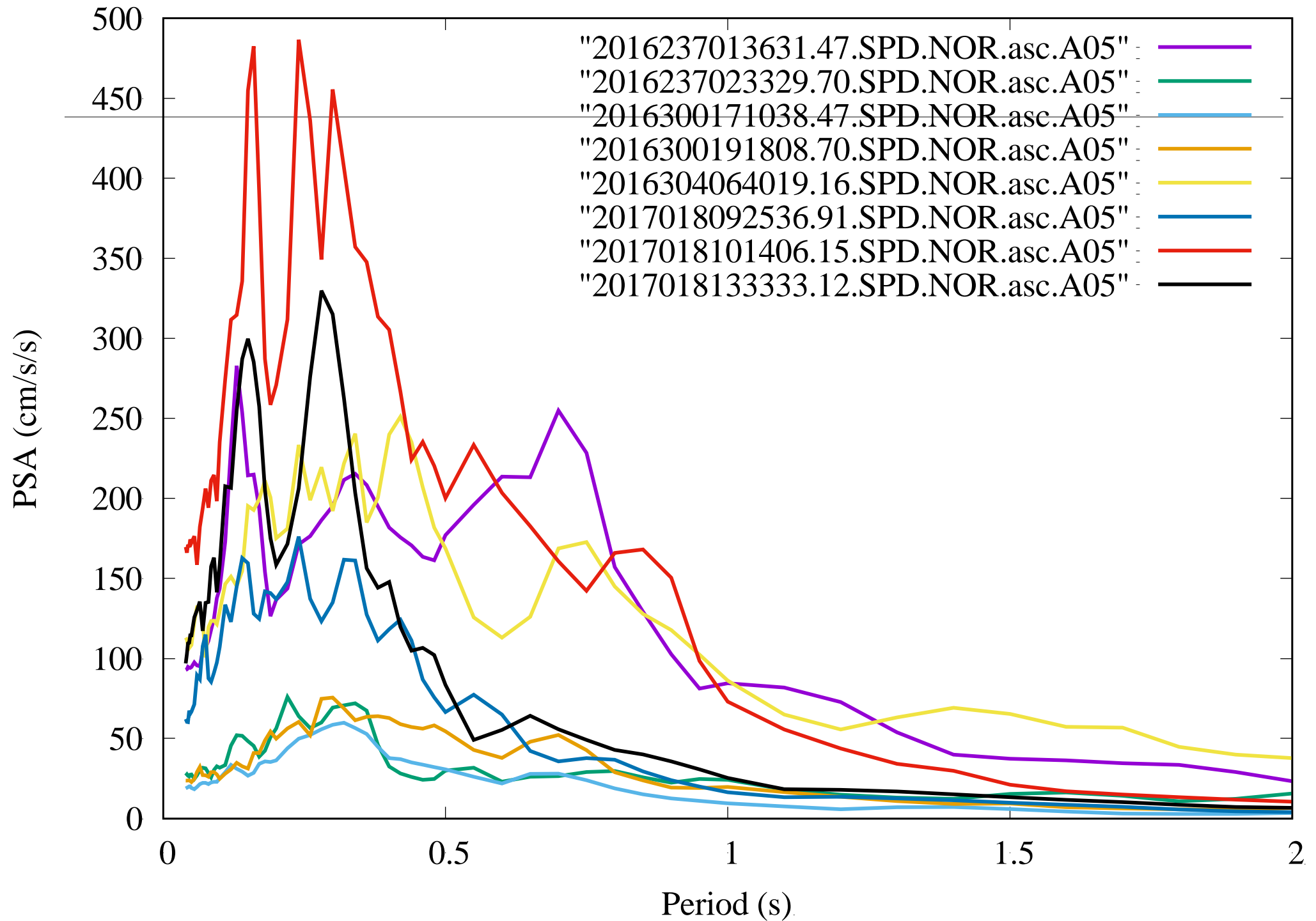
Poggio Cancelli Dam (PCB 0 km dist.) upstr-downstr



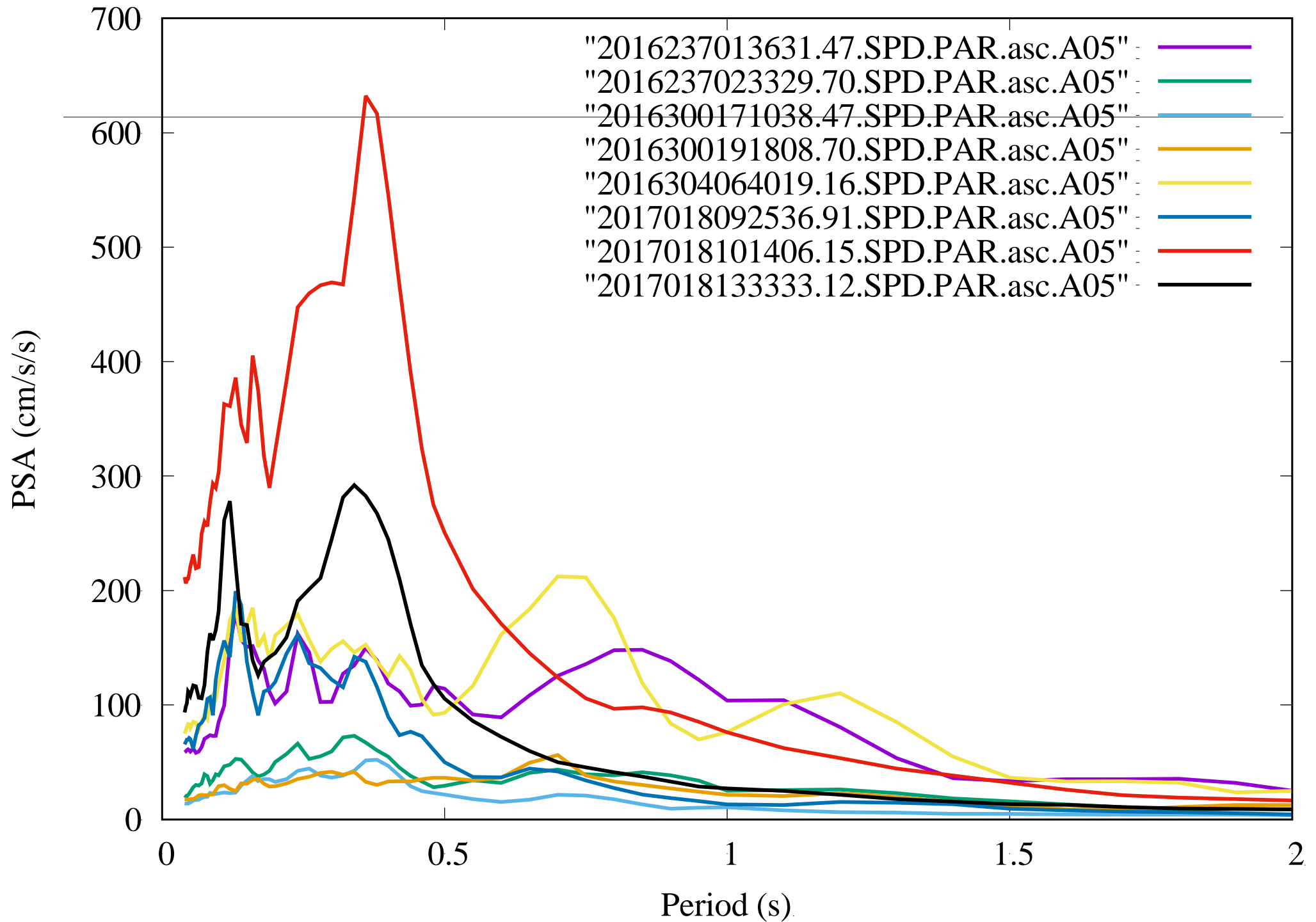
Poggio Cancelli Dam (PCB 0 km dist.) abut-abut



Sella Pedicate Dam (SPD 0 km dist.) upstr-downstr



Sella Pedicate Dam (SPD 0 km dist.) abut-abut





THANKS FOR YOUR ATTENTION