

ICOLD-BW
9th-11th September 2019
MILANO



9th September 2019

Assessment of the dynamic response of Pine Flat concrete gravity dam

FEM simulation of dam-foundation interaction



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- D-1: WRWL
- D-2: SRWL
- D-3: NRWL

E

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- E-1: Taft earthquake
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F

Linear Dynamic Analysis with massless foundation

- F-1: WRWL
- F-2: SRWL
- F-3: NRWL



INDIA

In-house FEM computer code
for linear elastic analysis of
dam-reservoir systems



Abaqus/standard

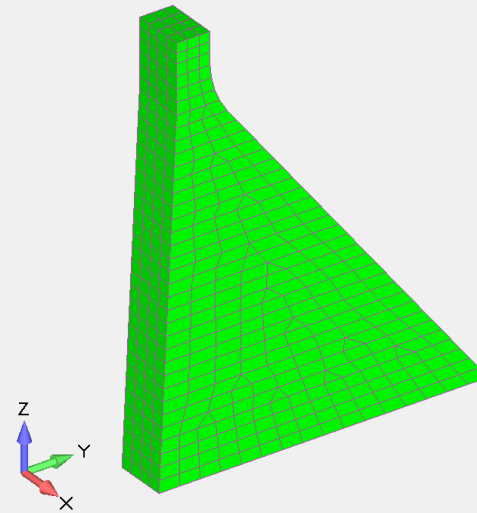
Time integration scheme:
HHT implicit method with
 $\Delta T = 0.005$ s

FEM model and main assumption

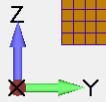
3D model

Foundation: 9360 solid linear elements (size 6 m)
Fluid: 6732 acoustic elements
Monolith 16: 1076 solid linear elements (size 3÷6 m)

Linear Cases A, D & F



compressible



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Assumptions

- foundation: **linear-elastic** model
- fluid-structure interaction: **coupled mechanical-acoustic** approach (Zienkiewicz, 1977)
- dam: **linear-elastic** model

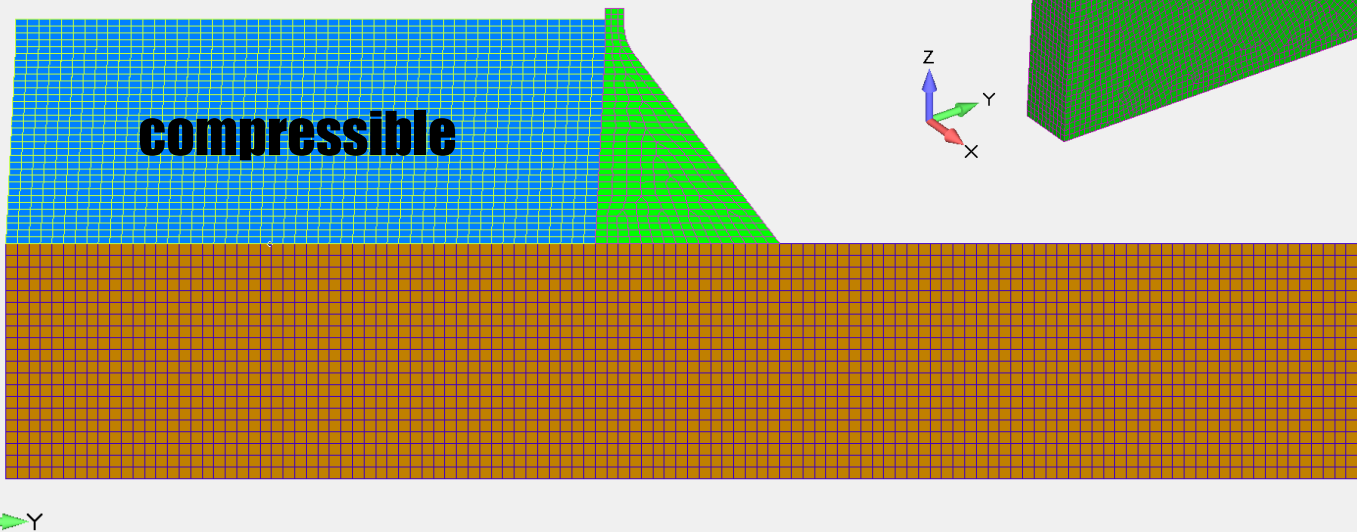
FEM model and main assumption

3D model

Foundation: 9360 solid linear elements (size 6 m)
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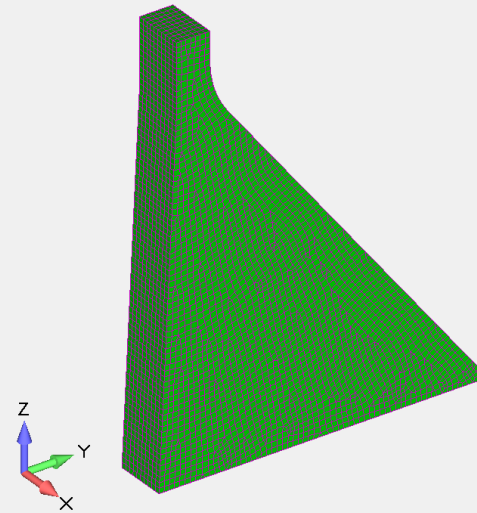
Non-Linear Case E

Monolith 16: 25120 solid linear elements (size 1.5 m)



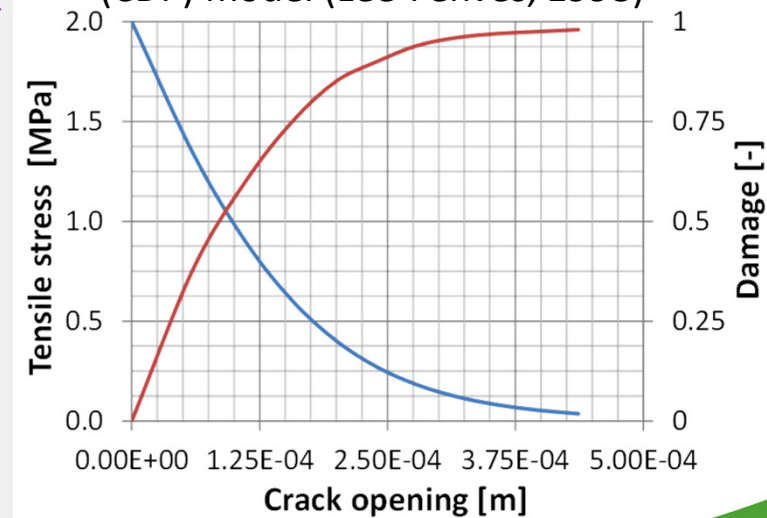
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Non-Linear Case E



Assumptions

- foundation: **linear-elastic** model
- fluid-structure interaction: **coupled mechanical-acoustic** approach (Zienkiewicz, 1977)
- dam: **linear-elastic** model
- dam: **Concrete Damage Plasticity** (CDP) model (Lee-Fenves, 1998)



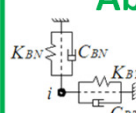
Soil-Structure Interaction approach

Approaches & loads

- seismic wave propagation achieved through the **viscous-spring artificial boundaries VSAB model** (Chuhan, 2009; Chen, 2012; Liu, 2013)
- spring & dashpot elements available in Abaqus: **absorbing artificial boundaries**
- homogeneous foundation with low damping: **effective earthquake forces** analytically computed basing on the theoretical solution of the elastic wave problem in a half-space
- EMVG applied as force to dam crest
- ETAf applied as total movement only to the base of the massed foundation
- Taft applied uniformly to the base and side boundaries using the **massless approach** (Clough, 1980)
- Static Loads: weight of dam and reservoir

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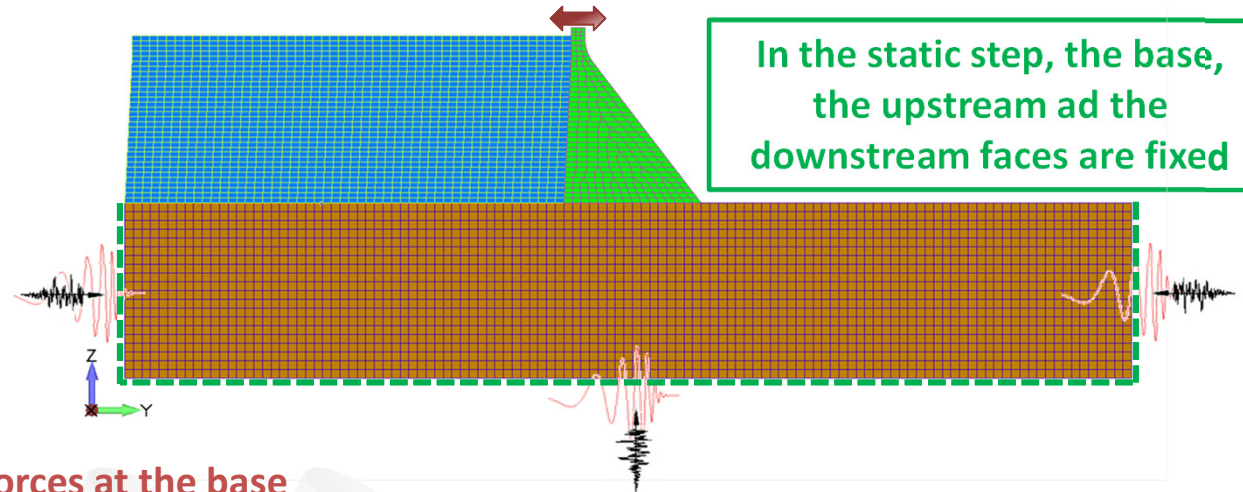
Absorbing boundaries



$$\begin{cases} K_{BN} = \frac{1}{1+\alpha} \frac{\lambda+2G}{r_b}, C_{BN} = \beta \rho c_p \\ K_{BT} = \frac{1}{1+\alpha} \frac{G}{r_b}, C_{BT} = \beta \rho c_s \end{cases}$$



In the static step, the base, the upstream and the downstream faces are fixed



forces at the base

$$\begin{bmatrix} F_{Bx} \\ F_{By} \\ F_{Bz} \end{bmatrix} = \begin{bmatrix} 0 \\ K_{BT} \left[v_0(t) + v_0 \left(t - \frac{2L}{c_s} \right) \right] + C_{BT} \left[\dot{v}_0(t) + \dot{v}_0 \left(t - \frac{2L}{c_s} \right) \right] + \rho c_s \left[\dot{v}_0(t) - \dot{v}_0 \left(t - \frac{2L}{c_s} \right) \right] \\ 0 \end{bmatrix}$$

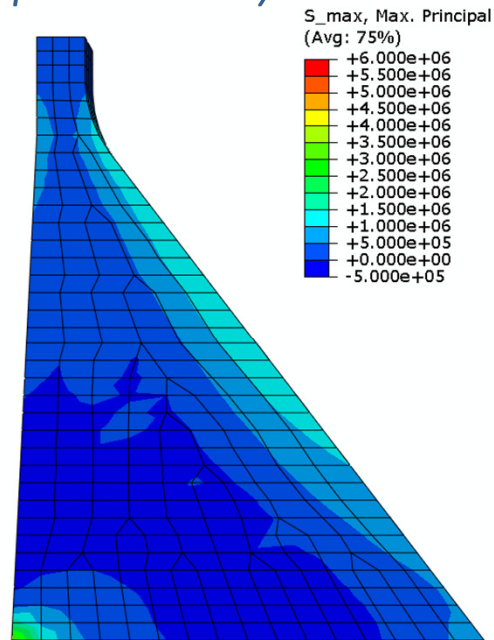
forces at side boundaries

$$\begin{bmatrix} F_{Bx} \\ F_{By} \\ F_{Bz} \end{bmatrix} = \begin{bmatrix} 0 \\ K_{BN} \left[v_0 \left(t - \frac{l}{c_s} \right) + v_0 \left(t - \frac{2L-l}{c_s} \right) \right] + C_{BN} \left[\dot{v}_0 \left(t - \frac{l}{c_s} \right) + \dot{v}_0 \left(t - \frac{2L-l}{c_s} \right) \right] \\ -\rho c_s \left[\dot{v}_0 \left(t - \frac{l}{c_s} \right) - \dot{v}_0 \left(t - \frac{2L-l}{c_s} \right) \right] \end{bmatrix}$$

Linear Dynamic Analyses for different reservoir levels

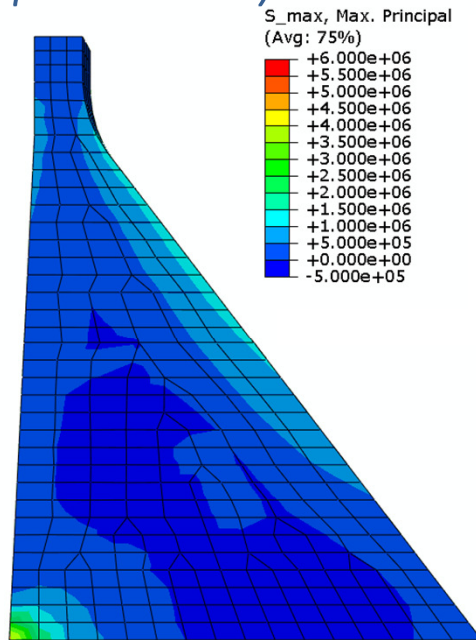
Tensile Stress Envelope

Case D-1
(268.21 m a.s.l.)



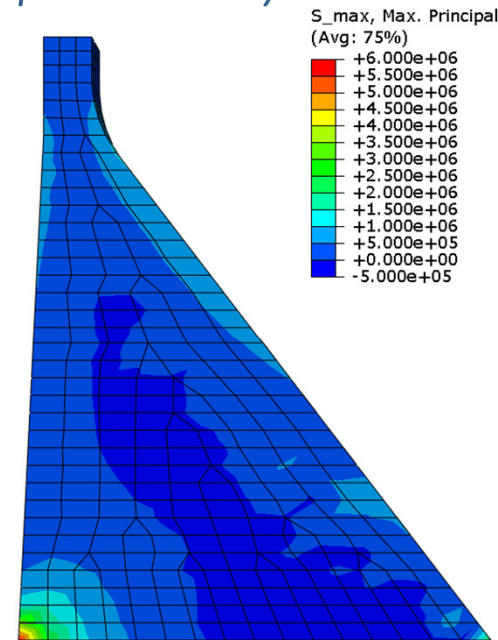
Step: Session Step, Step for Viewer non-persistent fields
Load Case: CaseD1: Max. Principal Stress Envelope
Primary Var: S_max, Max. Principal

Case D-2
(278.57 m a.s.l.)



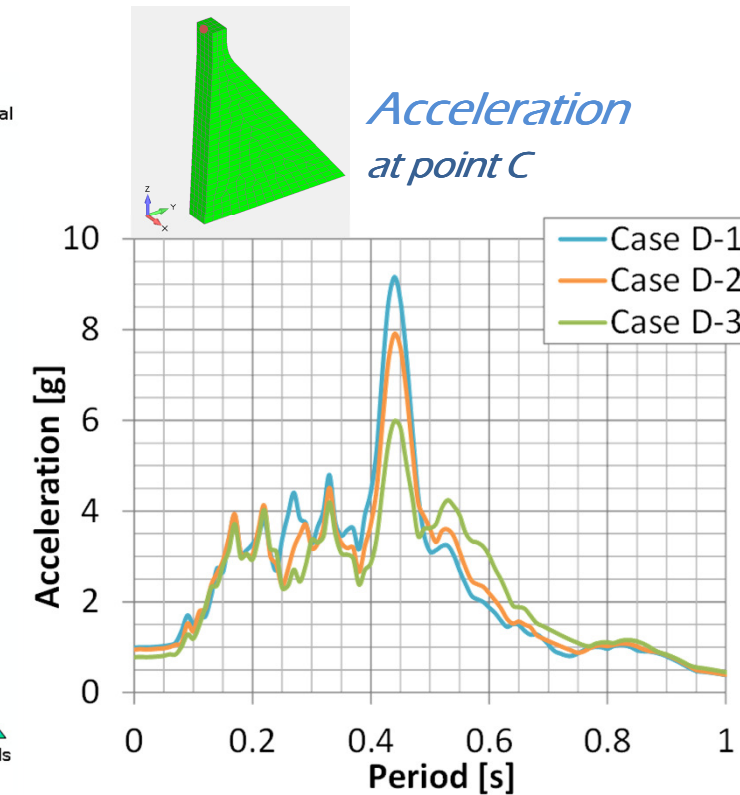
Step: Session Step, Step for Viewer non-persistent fields
Load Case: Case D2: Max. Principal Stress Envelope
Primary Var: S_max, Max. Principal

Case D-3
(290.00 m a.s.l.)



Step: Session Step, Step for Viewer non-persistent fields
Load Case: CaseD3: Max. Principal Stress Envelope
Primary Var: S_max, Max. Principal

Acceleration at point C



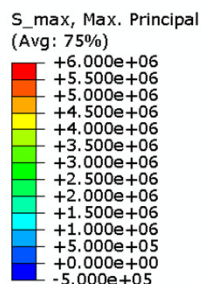
increasing the water level the tensile stress state decreases at downstream face and becomes greater in the nearest of dam heel

acceleration at dam crest reduces growing up the water level

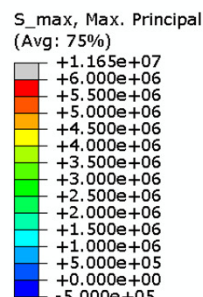
Linear Dynamic Analysis: VSAB model versus massless approach

Tensile Stress Envelope

Case D-1



Case F-1



Step: Session Step, Step for Viewer non-persistent fields
Load Case: CaseD1: Max. Principal Stress Envelope
Primary Var: S_max, Max. Principal

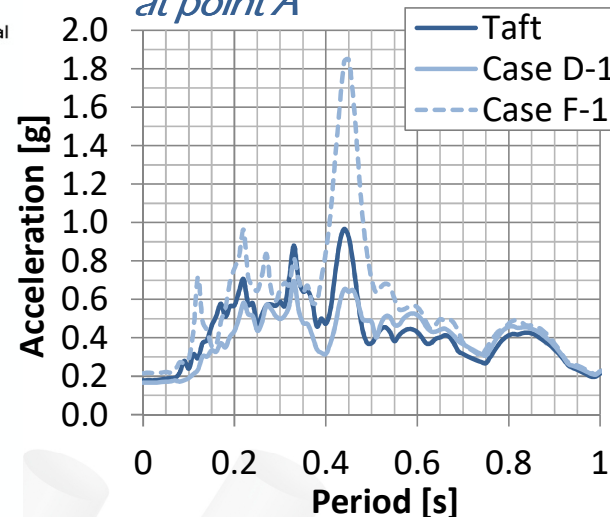
Step: Session Step, Step for Viewer non-persistent fields
Load Case: Case F1: Max. Principal Stress Envelope
Primary Var: S_max, Max. Principal

the **massless approach** leads to a **more demanding seismic response** of the system than the VSAB model (about the double). Nevertheless the **periods of the resonant peaks** are **comparable**

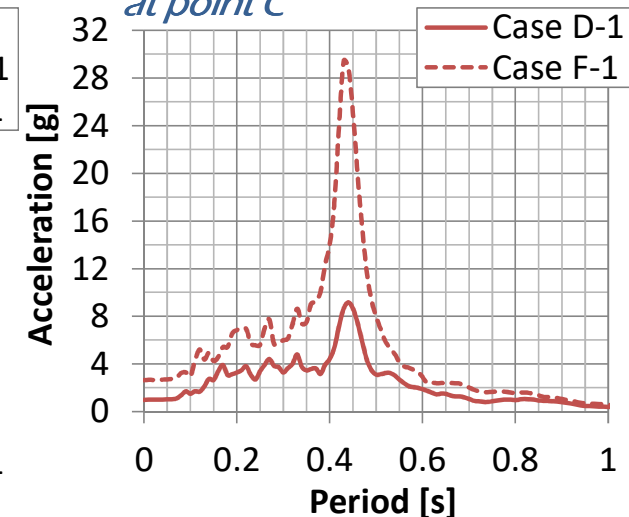
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Acceleration

at point A



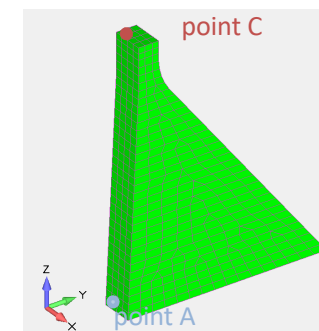
at point C



Modal Analysis

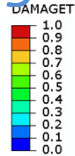
| | Case A-1 | Case A-1* |
|----------|------------------------|-----------|
| Mode [n] | Natural Frequency [Hz] | |
| 1 | 2.28 | 2.33 |
| 2 | 3.75 | 3.78 |
| 3 | 4.08 | 4.37 |
| 4 | 4.60 | 5.26 |
| 5 | 4.87 | 5.79 |
| 6 | 5.56 | 6.31 |

* massless

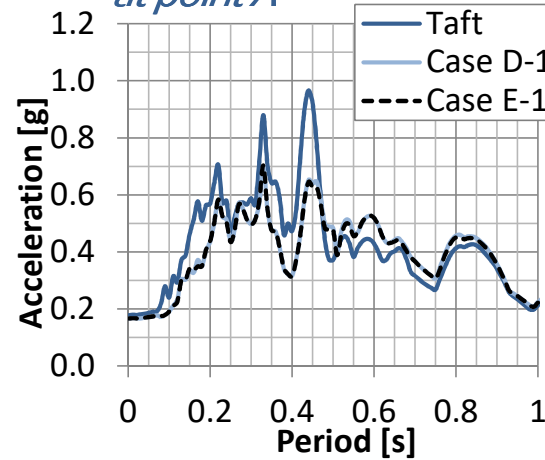


Non-linear Dynamic Analysis for different time-history signals

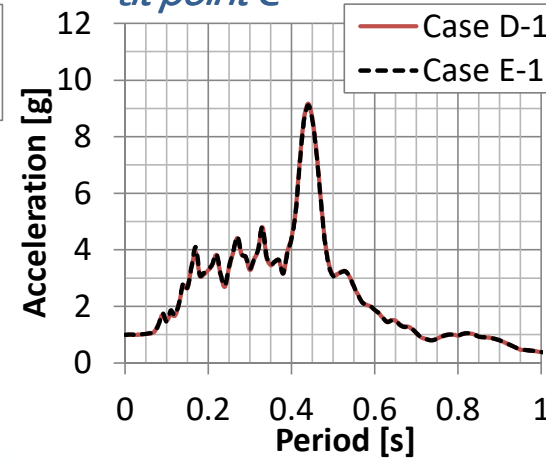
Tensile Damage



Acceleration at point A



at point C



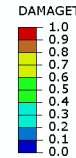
Taft earthquake is not severe enough to trigger significant non-linear effects. Only a **small damaged area** occurs at dam-foundation interface **near the dam heel**

Step: Step-2, CaseE1: TAFT
Increment: 10900: Step Time = 54.50
Primary Var: DAMAGET

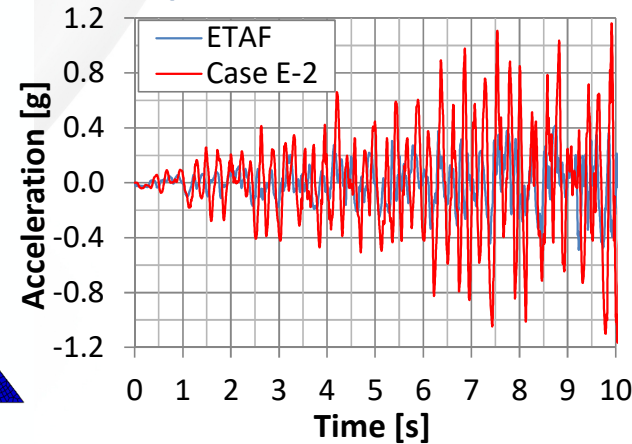
t=1 s

t=1.5 s

t=2 s



Acceleration at point A



ETAF signal allows to observe the **onset of increasing non-linear effects up to the failure** (assumed to occur when a single crack extends from the downstream to the upstream face)

Step: Step-2, CaseE2: ETAF
Increment: 200: Step Time = 1.000
Primary Var: DAMAGET

Step: Step-2, CaseE2: ETAF
Increment: 309: Step Time = 1.503
Primary Var: DAMAGET

Step: Step-2, CaseE2: ETAF
Increment: 411: Step Time = 2.003
Primary Var: DAMAGET

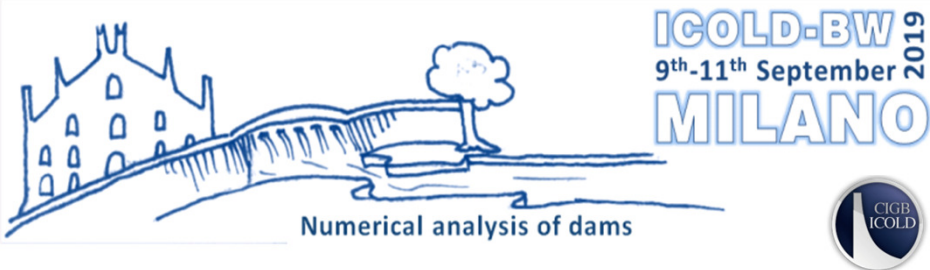
Case E-1

Case E-2

Final remarks



- The models with the seismic wave propagation approach provide a less demanding seismic response of the system with respect to that achieved with the massless approach. Nevertheless, the periods of the resonant peaks resulting from the two approaches are rather comparable.
- Thanks to its conservativeness the massless approach is still widely used in the seismic safety assessment of dam-reservoir-foundation system.
- In many cases it may be important to reduce this excessive conservativeness that may lead to the non-fulfilment of the performances required by the regulations: consolidating and increasing the confidence in the use of models that carefully simulate soil-structure interaction represent a crucial issue.
- To enhance the reliability and the confidence in using these advanced FEM models, the comparison with earthquake records on dams should be needed.
- The results of non-linear dynamic analysis with Taft earthquake shows that no significant non-linear effect arises and the structural behaviour results pretty unchanged with respect to the correspondent linear case.
- The case carried out with the ETAF signal allows to observe the onset of increasing non-linear effects up to the failure.



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FEM simulation of dam-foundation interaction



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