# UNDERGROUND CONSTRUCTION AND GROUND MOVEMENT

#### PROCEEDINGS OF SESSIONS OF GEOSHANGHAI

June 6-8, 2006 Shanghai, China

HOSTED BY
Tongji University
Shanghai Society of Civil Engineering, China

IN COOPERATION WITH
The Geo-Institute (GI) of the American Society of Civil Engineers
Georgia Institute of Technology
The University of Kansas
The University of Tennessee
International Association of Foundation Drilling
Deep Foundations Institute
Saga University
Delft University of Technology

EDITED BY
Hehua Zhu, Ph.D.
Feng Zhang, Ph.D.
Eric C. Drumm, Ph.D.
Chung-Tien Chin, Ph.D., P.E.
Dongmei Zhang, Ph.D.





#### Library of Congress Cataloging-in-Publication Data

GeoShanghai International Conference (2006)

Underground construction and ground movement: proceedings of sessions of Geoshanghai, June 6-8, 2006, Shanghai, China / hosted by Tongji University, Shanghai Society of Civil Engineering, China in cooperation with The Geo-Institute of the American Society of Civil Engineers ... [et al.]; edited by Hehua Zhu ... [et al.].

p. cm. — (Geotechnical special publication; no. 155) Includes bibliographical references and index.

ISBN 0-7844-0867-X

1. Underground construction—Congresses. 2. Engineering gcology—Congresses. I. Zhu, Hehua. II. Tong ji da zue (China) III. Shanghai Society of Civil Engineering. IV. Title.

TA712.G455 2006 624.1'9—dc22

2006042868

American Society of Civil Engineers 1801 Alexander Bell Drive Reston, Virginia, 20191-4400

www.pubs.asce.org

Any statements expressed in these materials are those of the individual authors and do not necessarily represent the views of ASCE, which takes no responsibility for any statement made herein. No reference made in this publication to any specific method, product, process, or service constitutes or implies an endorsement, recommendation, or warranty thereof by ASCE. The materials are for general information only and do not represent a standard of ASCE, nor are they intended as a reference in purchase specifications, contracts, regulations, statutes, or any other legal document. ASCE makes no representation or warranty of any kind, whether express or implied, concerning the accuracy, completeness, suitability, or utility of any information, apparatus, product, or process discussed in this publication, and assumes no liability therefore. This information should not be used without first securing competent advice with respect to its suitability for any general or specific application. Anyone utilizing this information assumes all liability arising from such use, including but not limited to infringement of any patent or patents.

ASCE and American Society of Civil Engineers—Registered in U.S. Patent and Trademark Office.

Photocopies and reprints.

You can obtain instant permission to photocopy ASCE publications by using ASCE's online permission service (www.pubs.asce.org/authors/RightslinkWelcomePage.html). Requests for 100 copies or more should be submitted to the Reprints Department, Publications Division, ASCE, (address above); email: permissions@asce.org. A reprint order form can be found at www.pubs.asce.org/authors/reprints.html.

Copyright © 2006 by the American Society of Civil Engineers. All Rights Reserved.

ISBN 0-7844-0867-X

Manufactured in the United States of America.

### Geotechnical Special Publications

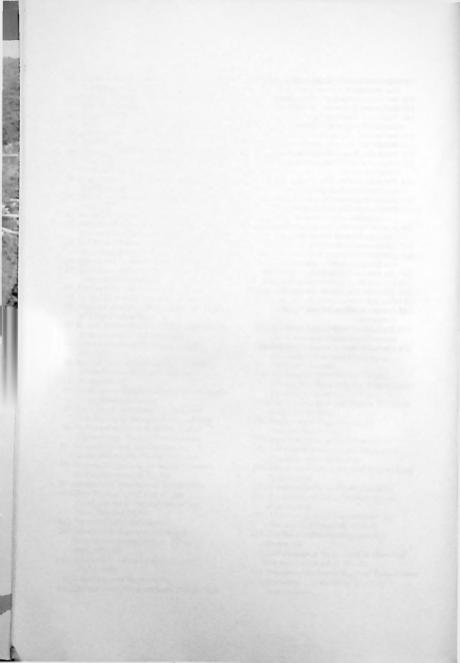
- 1 Terzaghi Lectures
- 2 Geotechnical Aspects of Stiff and Hard Clays
- 3 Landslide Dams: Processes, Risk, and Mitigation
- 7 Timber Bulkheads
- 9 Foundations & Excavations in Decomposed Rock of the Piedmont Province
- 11 Dynamic Response of Pile Foundations-Experiment, Analysis and Observation
- 14 Geotechnical Aspects of Karst Terrains
- 15 Measured Performance Shallow Foundations
- 16 Special Topics in Foundations
- 17 Soil Properties Evaluation from Centrifugal Models
- 18 Geosynthetics for Soil Improvement
- 19 Mine Induced Subsidence: Effects on Engineered Structures
- 21 Hydraulic Fill Structures
- 22 Foundation Engineering
- 23 Predicted and Observed Axial Behavior of
- 24 Resilient Moduli of Soils: Laboratory Conditions
- 25 Design and Performance of Earth Retaining Structures
- 27 Geotechnical Engineering Congress
- 28 Detection of and Construction at the Soil/Rock Interface
- 29 Recent Advances in Instrumentation, Data Acquisition and Testing in Soil Dynamics
- 32 Embankment of Dams-James L. Sherard Contributions
- 33 Excavation and Support for the Urban Infrastructure
- 34 Piles Under Dynamic Loads
- 35 Geotechnical Practice in Dam Rehabilitation
- 37 Advances in Site Characterization: Data Acquisition, Data Management and Data Interpretation
- 39 Unsaturated Soils
- 40 Vertical and Horizontal Deformations of Foundations and Embankments 41 Predicted and Measured Behavior of Five
- Spread Footings on Sand
- 42 Serviceability of Earth Retaining Structures
- 43 Fracture Mechanics Applied to Geotechnical Engineering
- 44 Ground Failures Under Seismic Conditions
- 45 In Situ Deep Soil Improvement
- 46 Geoenvironment 2000
- 47 Geo-Environmental Issues Facing the

- 48 Soil Suction Applications in Geotechnical Engineering
- 49 Soil Improvement for Earthquake Hazard
  Mitigation
- 50 Foundation Upgrading and Repair for Infrastructure Improvement
- 51 Performance of Deep Foundations Under Seismic Loading
- 52 Landslides Under Static and Dynamic Conditions-Analysis, Monitoring, and Mitigation
- 53 Landfill Closures-Environmental Protection and Land Recovery
- 54 Earthquake Design and Performance of Solid Waste Landfills
- 55 Earthquake-Induced Movements and Seismic Remediation of Existing Foundations and Abutments
- 56 Static and Dynamic Properties of Gravelly Soils
- 57 Verification of Geotechnical Grouting
- 58 Uncertainty in the Geologic Environment
- 59 Engineered Contaminated Soils and Interaction of Soil Geomembranes
- 60 Analysis and Design of Retaining Structures Against Earthquakes
- 61 Measuring and Modeling Time Dependent Soil Behavior
- 62 Case Histories of Geophysics Applied to Civil Engineering and Public Policy
- 63 Design with Residual Materials: Geotechnical and Construction Considerations
- 64 Observation and Modeling in Numerical Analysis and Model Tests in Dynamic Soil-Structure Interaction Problems
- 65 Dredging and Management of Dredged Material
- 66 Grouting: Compaction, Remediation and Testing 67 Spatial Analysis in Soil Dynamics and
- Earthquake Engineering
- 68 Unsaturated Soil Engineering Practice
- 69 Ground Improvement, Ground Reinforcement, Ground Treatment: Developments 1987-1997
- 70 Seismic Analysis and Design for Soil-Pile-Structure Interactions
- 71 In Situ Remediation of the Geoenvironment
- 72 Degradation of Natural Building Stone
- 73 Innovative Design and Construction for Foundations and Substructures Subject to Freezing and Frost

- 74 Guidelines of Engineering Practice for Braced and Tied-Back Excavations
- 75 Geotechnical Earthquake Engineering and Soil Dynamics III
- 76 Geosynthetics in Foundation Reinforcement and Erosion Control Systems
- 77 Stability of Natural Slopes in the Coastal Plain
- 78 Filtration and Drainage in Geotechnical/Geoenvironmental Engineering
- 79 Recycled Materials in Geotechnical Applications
- 80 Grouts and Grouting: A Potpourri of Projects
- 81 Soil Improvement for Big Digs
- 82 Risk-Based Corrective Action and Brownfields Restorations
- 83 Design and Construction of Earth Retaining Systems
- 84 Effects of Construction on Structures
- 85 Application of Geotechnical Principles in Pavement Engineering
- 86 Big Digs Around the World
- 87 Jacked Tunnel Design and Construction
- 88 Analysis, Design, Construction, and Testing of Deep Foundations
- 89 Recent Advances in the Characterization of Transportation Geo-Materials
- 90 Geo-Engineering for Underground Facilities
- 91 Special Geotechnical Testing: Central Artery/Tunnel Project in Boston, Massachusetts
- 94 Performance Confirmation of Constructed Geotechnical Facilities
- 95 Soil-Cement and Other Construction Practices in Geotechnical Engineering
- 96 Numerical Methods in Geotechnical Engineering: Recent Developments
- 97 Innovations and Applications in Geotechnical Site Characterization
- 98 Pavement Subgrade, Unbound Materials, and Nondestructive Testing
- 99 Advances in Unsaturated Geotechnics 100 New Technological and Design
- 100 New Technological and Design
  Developments in Deep Foundations
- 101 Slope Stability 2000
- 102 Trends in Rock Mechanics
- 103 Advances in Transportation and Geoenvironmental Systems Using Geosynthetics
- 104 Advances in Grouting and Ground Modification
- 105 Environmental Geotechnics
- 106 Geotechnical Measurements: Lab & Field

- 107 Soil Dynamics and Liquefaction 2000
- 108 Use of Geophysical Methods in Construction
- 109 Educational Issues in Geotechnical Engineering
- 110 Computer Simulation of Earthquake Effects
- 111 Judgment and Innovation: The Heritage and Future of the Geotechnical Engineering Profession
- 112 Soft Ground Technology
- 114 Soils Magic
- 115 Expansive Clay Soils and Vegetative Influence on Shallow Foundations
- 116 Deep Foundations 2002: An International Perspective on Theory, Design, Construction, and Performance
- 117 Discrete Element Methods: Numerical Modeling of Discontinua
- 118 A History of Progress: Selected U.S. Papers in Geotechnical Engineering
- 119 Soil Behavior and Soft Ground Construction
- 120 Grouting and Ground Treatment
- 121 Probabilistic Site Characterization at the National Geotechnical Experimentation Sites
- 122 Sinkholes and the Engineering and Environmental Impacts of Karst
- 123 Recent Advances in Materials Characterization and Modeling of Pavement Systems
- 124 GeoSupport 2004: Drilled Shafts, Micropiling, Deep Mixing, Remedial and Specialty Foundation Systems
- 125 Current Practices and Future Trends in Deep Foundations
- 126 Geotechnical Engineering for Transportation Projects
- 127 Recycled Materials in Geotechnics
- 128 Soil Constitutive Models: Evaluation, Selection, and Calibration
- 129 Advances in Designing and Testing Deep Foundations
- 130 Advances in Pavement Engineering
- 131 Contemporary Issues in Foundation Engineering
- 132 Advances in Deep Foundations: In Memory of Michael W. O'Neill
- 133 Earthquake Engineering and Soil Dynamics
- 134 Soil Dynamics Symposium in Honor of Professor Richard D. Woods
- 135 Erosion of Soils and Scour of Foundations
- 136 Innovations in Grouting and Soil
  Improvement

- 137 Legal and Liability Issues in Geotechnical Engineering
- 138 Site Characterization and Modeling
- 139 Calibration of Constitutive Models
- 140 Stopes and Retaining Structures Under Seismic and Static Conditions
- 141 International Perspectives on Soil
  Reinforcement Applications
- 142 Waste Containment and Remediation
- 143 Geomechanics: Testing, Modeling, and Simulation
- 144 Sinkholes and the Engineering and Environmental Impacts of Karst
- 145 Seismic Performance and Simulation of Pile Foundations in Liquefied and Laterally Spreading Ground
- 146 Asphalt Concrete: Simulation, Modeling and Experimental Characterization
- 147 Unsaturated Soils 2006
- 148 Advances in Unsaturated Soil, Seepage, and Environmental Geotechnics
- 149 Site and Geomaterial Characterization
- 150 Soil and Rock Behavior and Modeling
- 151 Advances in Earth Structures: Research to Practice
- 152 Ground Modification and Seismic Mitigation
- 153 Foundation Analysis and Design: Innovative Methods
- 154 Pavement Mechanics and Performance



## **Preface**

This special publication contains 52 technical papers which cover recent advances in research and practical applications in engineering issues in karst and ground subsidence, tunneling and underground construction, and deep excavation. Two invited keynote papers by Professor Linde Yang and Professor Jian Zhao (co-authored with Drs. X. B. Zhao, G. W. Ma, H. B. Li, and Y. X. Zhou) summarize recent advances in some key problems on stability analysis of underground rock structures and recent studies of rock dynamics for underground development, respectively. Technical papers in the engineering issues in karst and ground subsidence section address investigation, construction, and design issues in karst terrain, and ground subsidence due to withdrawal of fluids. This publication includes a significant number of technical papers in tunneling and underground construction, which cover a wide range of topics from box culverts, shield tunnels, to interactions between tunnels and other underground/surface structures. The deep excavation section contains technical papers presenting theoretical and case studies on stability and deformations associated with deep excavation.

Each paper published in this ASCE Geotechnical Special Publication (GSP) was evaluated by at least two reviewers including the editors. The authors of the accepted papers have addressed all the reviewers' comments to the satisfaction of the editors. All published papers are eligible for discussion in the Journal of Geotechnical and Geoenvironmental Engineering, and also eligible for ASCE awards.

The papers in this publication were presented during the GeoShanghai International Conference held in Shanghai, China, June 6–8, 2006. This conference was hosted by Tongji University and the Shanghai Society of Civil Engineering, China in cooperation with ASCE Geo-Institute, the Georgia Institute of Technology, the University of Kansas, the University of Tennessee, International Assoc. of Foundation Drilling, Deep Foundation Institute (USA), Saga University (Japan), and Delft University of Technology (Netherlands).

We are thankful to Professor Yongsheng Li, the conference chair for GcoShangai, and Professors Paul W. Mayne, Jie Han, and Baoshan Huang, who have been instrumental in organizing this conference. Thanks are extended to the former and current chairs of Technical Coordination Council of ASCE Geo-Institute, Professors Edward Kavazanjian and Barbara A. Luke, and the council members for their support to the publication of the GSPs as proceedings of this conference. Thanks are also due to Carol Bowers at ASCE Geo-Institute for her enthusiastic support to this conference. In producing this Geotechnical Special Publication, the editors also would like to acknowledge the assistance of Donna Dickert at ASCE.

The editors wish to thank the following individuals who reviewed one or more papers for this geotechnical special publication.

Eric C. Drumm Ozgur Alturk Yunmin Chen Dennis R. Hiltunen Jie Han Yosuke Higo Md. Shahin Hossain Lucy Jen Mamoru Kikumoto Harry Moore Hanlong Liu Mingtang Lei Yong Shao Charles W. W. Ng Aravinda Ramakrishna Jian-hua Wang Andrew I Whittle Michael Z. Yang Guan Lin Ye

For any reviewers whose names were inadvertently missed, we offer our sincere apologies.

#### Editors:

Hehua Zhu, Tongji University
Feng Zhang, Nagoya Institute of Technology
Eric C. Drumm, the University of Tennessee
Chung-Tien Chin, Moh and Associates, Inc
Dongmei Zhang, Tongji University

January 12, 2006

# **Contents**

Keynote Lecture Papers	
Recent Studies of Rock Dynamics for Underground Development	
Some Key Problems on Stability Analysis of Underground Rock Structures26 L. D. Yang	i
Engineering Issues in Karst and Ground Subsidence	
Seismic Crosshole Tomography Studies of Foundation Sites in Karst Terrane42 Dennis R. Hiltunen and Patrick W. Dunn	!
Cavity Effects on the Bearing Capacity of Footing Foundations and the Calculation Method	0
State-of-the-Art of Land Subsidence Prediction due to Groundwater Withdrawal	_
in China	8
Analysis of the Mechanism of Water Inrush in Karst Tunnels	6
Prediction of Ground Displacement and Deformation Induced by Dewatering of Groundwater	3
The Impacts of Construction Dewatering on Surrounding Structures of a Project Site	0
Design and Construction of Highway Structures in Karst Terrain	8
Geotechnical and Geohydrological Investigations in Eastern United States Karst	)6
Simulation of Graded Rock Fill for Sinkhole Repair in Particle Flow Model	)4
Tunneling and Underground Construction	
Optimal Analysis of Construction Schemes for Twin Tunnel Based on Back Analysis	1:
W. Q. Ding, X. A. Wang, Y. Cheng, H. H. Zhu, and D. W. Xie  Research on the Effect of Permittivity on the Result of GPR Detection	
of Grouting Material behind Shield Tunnel Segment	21

Railway Line
S. J. Du, J. Chen, and J. D. Zhu
A Model Test of the Tunnel Anchorage for E-Gong-Yan Suspension Bridge in Soft Rock Masses in Chongqing City, China
Research on the Stress Mechanism of the Ultra-Deep Open Caisson System during Subsidence Process
Risk Analysis of Building Structure Due to Shield Tunneling in Urban Area150 Hongwei Huang and Long Chen
Study of Controlling Measures on the Deflection of Metro Tunnels Due to Overlying Excavation
Analytical Solution to the Vortex-Excited Vibration of Tether in the Submerged Floating Tunnel
Effect of Lubrication Strength on Efficiency of Slurry Pipe Jacking170 S. Khazaei, W. Wu, H. Shunada, and K. Matsui
Study on Steel Pipe Mechanism during Culvert Box Extruding into Soft Soil178 H. H. Zhu, X. Y. Li, J. K. Ge, S. G. Xiao, and Z. G. Yan
Direction Deviation Control during Double-O-Tube (DOT) Tunnel Construction185 SL. Shen, FX. Cai, TH. Bai, and JM. Zhu
3-D Numerical Simulation of Air Losses in Compressed Air Tunneling193 G Steger and S. Semprich
An Innovated Support System for Super Cross-Section Highway Tunnel with Lower Flat-Ratio
Optimal Design and Analysis for Reasonable Distance of Twin-Tube Crossing-River Shield Tunnel
C. C. Xia, W. Wang, Z. Lin, X. Zhao, and H. H. Zhu
The Application of Stereo-Analytical Method for Block Theory to the Stability  Analysis of Longtan Hydropower Station Underground Power-House216  C. C. Xia, H. H. Mao, Z. X. Zhang, X. Zhao, and L. D. Yang
Analysis on Construction Influence of Shield Machine Passing Beneath Old Running Subway Tunnels
The Analysis of Underground Chambers Destabilization Induced by Blasting Vibration Based on Catastrophe Theory

Numerical Simulation of Interaction between Pile Foundation and Adjacent Tunnel
Numerical Analysis and Field Test on Performance of Steel Fibre Reinforced Concrete Segment in Subway Tunnel
A Study of Ventilation Measures in Tunnel Fire
Earth Pressure on Linings of Shield Tunnel
An Analytical Solution for DOT Shield-Driven Tunnel Lining
Research on Design Technology of Road Tunnel under Airport Taxiway in Soft Ground
H. T. Zhi, Z. H. Zhang, and S. J. Du
Numerical Studies of Fracture Initiation and Propagation in Stiff Soil288 S. Y. Wang, A. T. Yeung, S. K. Au, Fang Zhen, H. Q. Zhang, and X. Q. Yang
An Evaluation of Theoretical Solutions for Prediction of Ground Movements  Due to Shallow Tunneling in Soils296  Yanyong Xiang
Model Tests and Numerical Simulation in Shallow Tunneling Considering     Existing Building Load
Deep Excavation
Fuzzy Fault Tree Analysis of Failure Probability of SMW Retaining Structures in Deep Excavations
Three-Dimensional Displacement Computation and Construction Pre-Control of Foundation Pit in Deping Station of Shanghai Track Traffic Line 6 Project
Bearing Capacity of Multiple Strip Footings and Critical Spacing between Unlagged Soldier Piles—A Classical Plasticity Solution
New Technologies for Tracking Urban Excavation Progress and Estimating Deformations
Design Reliability of Strutted Excavations in Cohesionless Soils34 Yasser A. Hegazy
Estimation of Wall Deflection in Deep Excavation—Neural Network Approach34  Evan C. L. Hsiao, Gordon T. C. Kung, C. Hsein Juang, and Matt Schuster